

# Positronium emission from various semiconductors

~Si, SiC, GaN and AlN~

- (i) Background, Why Ps from semicond.?
- (ii) Methods, Experiment & Calculation
- (iii) Ps TOF energy spectra
- (vi) Summary & Future prospects

A. Miyashita (QST)	Ab initio calculation
M. Maekawa (QST)	Monte Carlo simulation
K. Wada (KEK)	Ps-TOF measurement
Y. Nagashima (Tokyo U. Sci.)	Ps-TOF instrumentation
A. Ishida (Tokyo U.)	Multi-hit data processing
A. Kawasuso (QST)	Designing research plan

# Background

**Why now investigation of  
Ps formation mechanism?**

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**“Ps = Purely leptonic bound system”**

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**Standard theory of particle phys.**

**Bose-Einstein condensation**

**Atomic & Molecular Physics**

*Gravitational  
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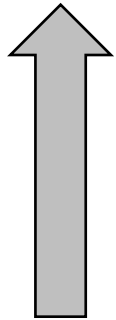
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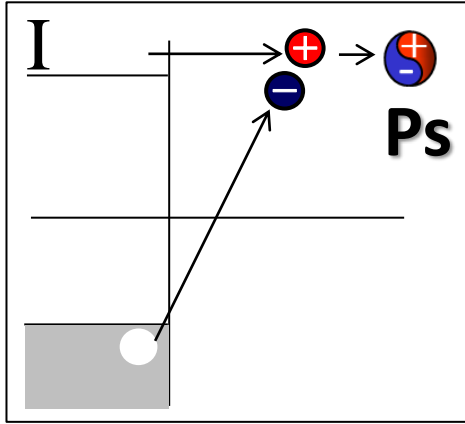
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**Details of Ps formation mechanism**

# Background



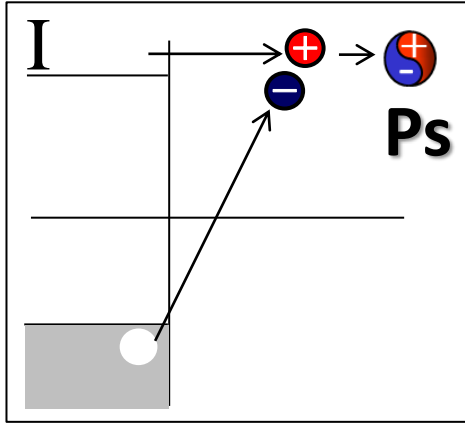
## Direct Positronium Emission (Formation potential mechanism)

$$\Phi_{Ps} = \Phi_{+} + \Phi_{-} - 6.8\text{eV} < 0$$

$$0 < E_{Ps} = -\Phi_{Ps} - E_F + E < |\Phi_{Ps}| \quad E: \text{Electron energy level}$$



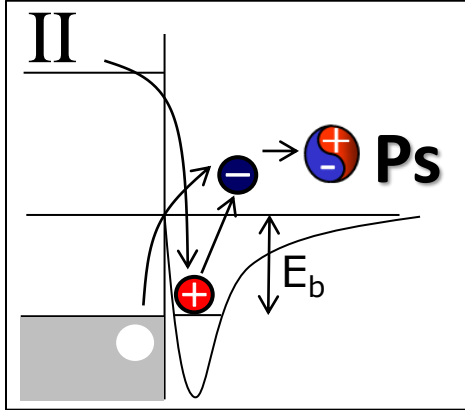
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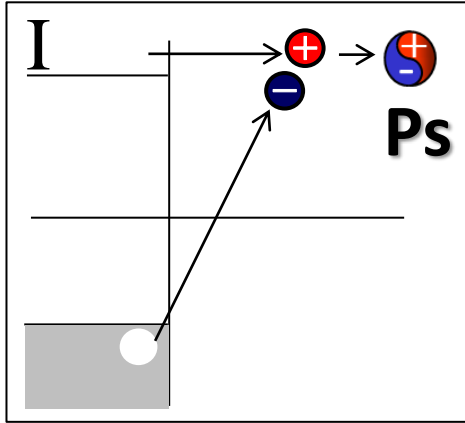
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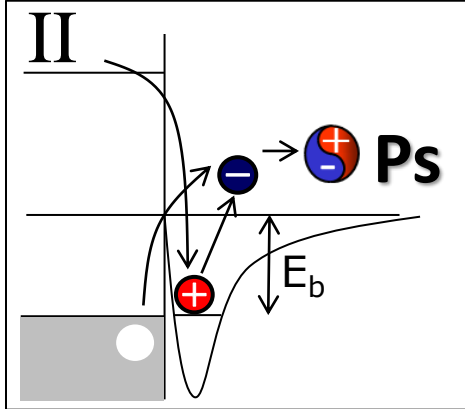
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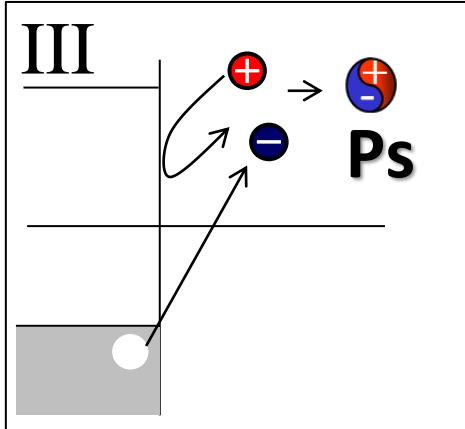
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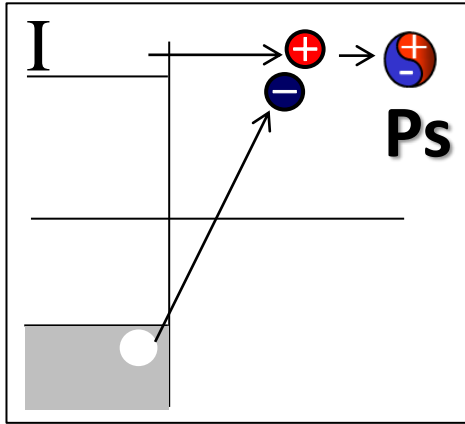
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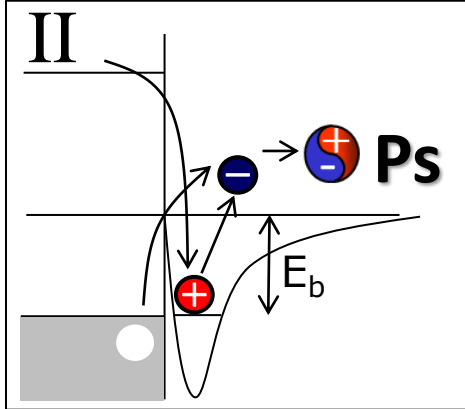
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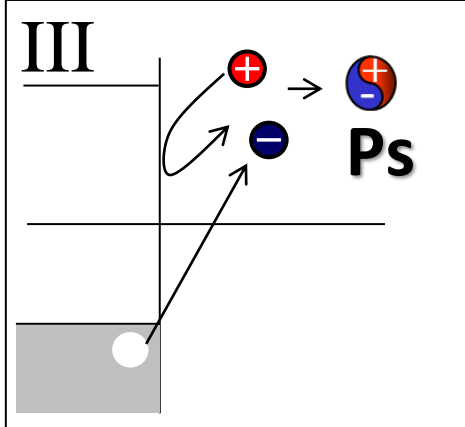
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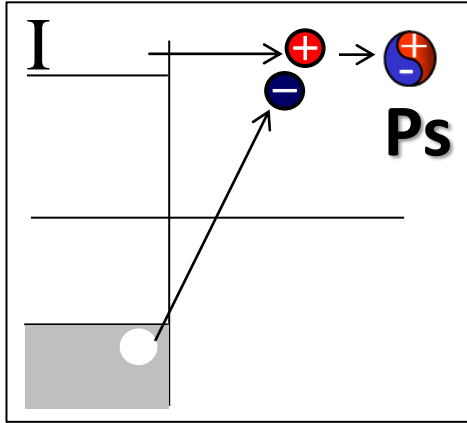
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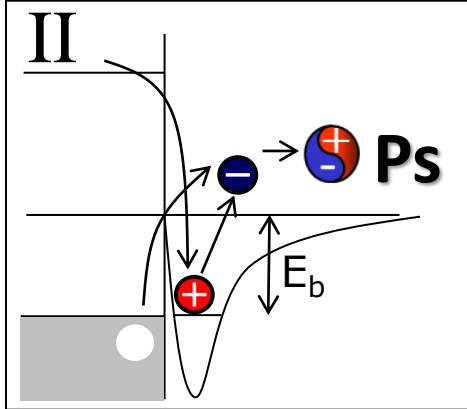
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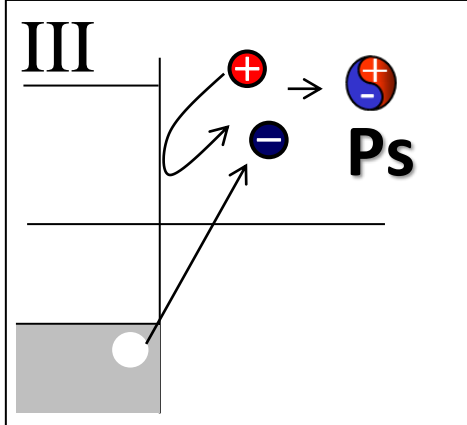
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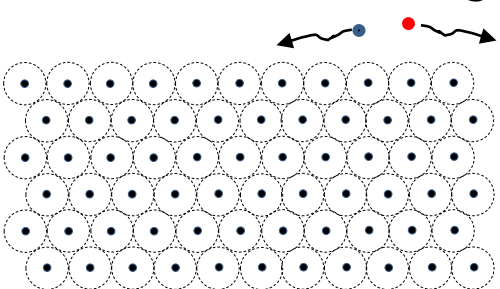
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**Ps is easily formed at solid surfaces  
due to its various formation channels**

# Background

## A scale image for Ps stability

Transition metals  $r_s \sim 1$



- Electron
- Ps

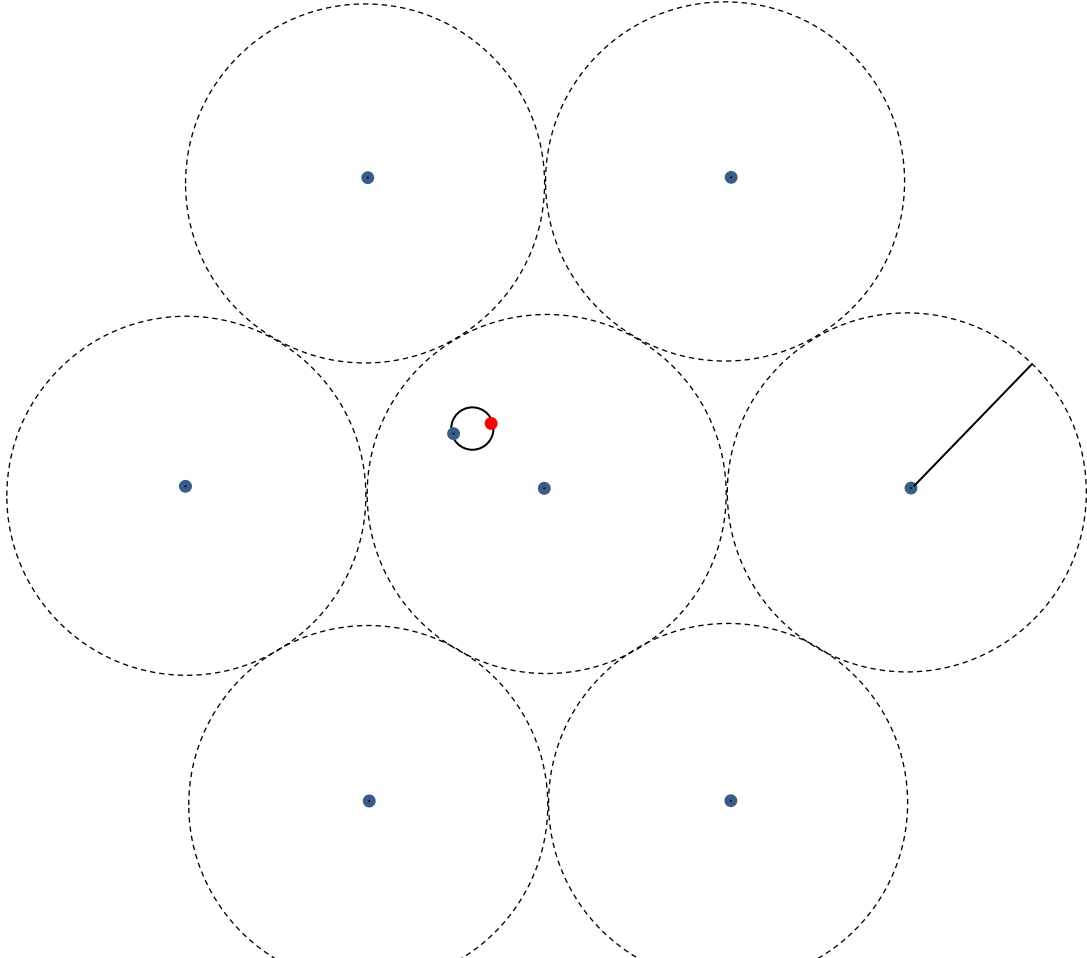
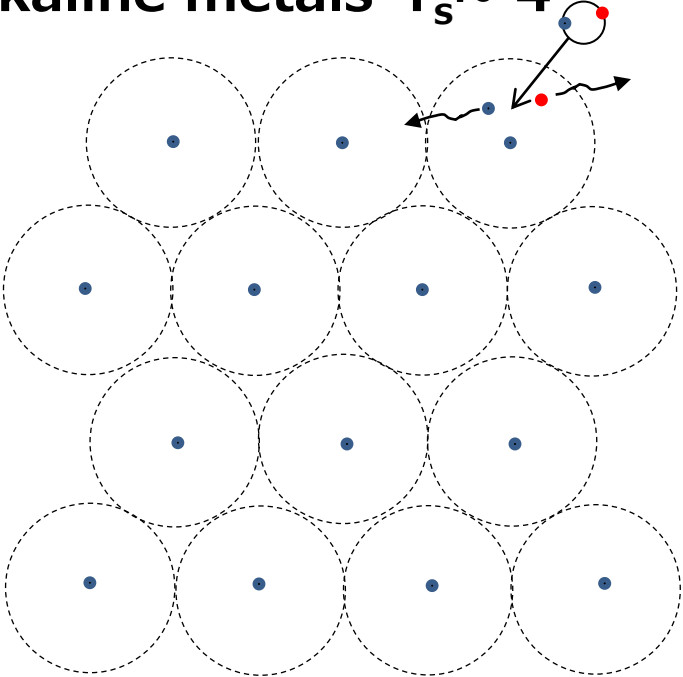
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$$U(r) = \frac{e^2 \exp(-\mu r)}{r}$$

$r_s = 8.5$  **Ps is formed**

**Ps is prohibited**

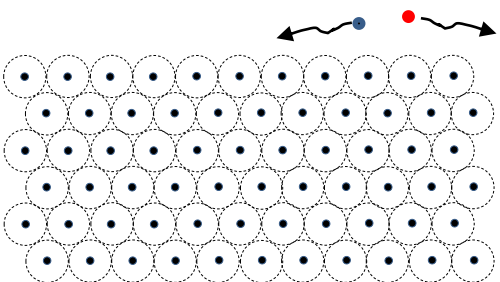
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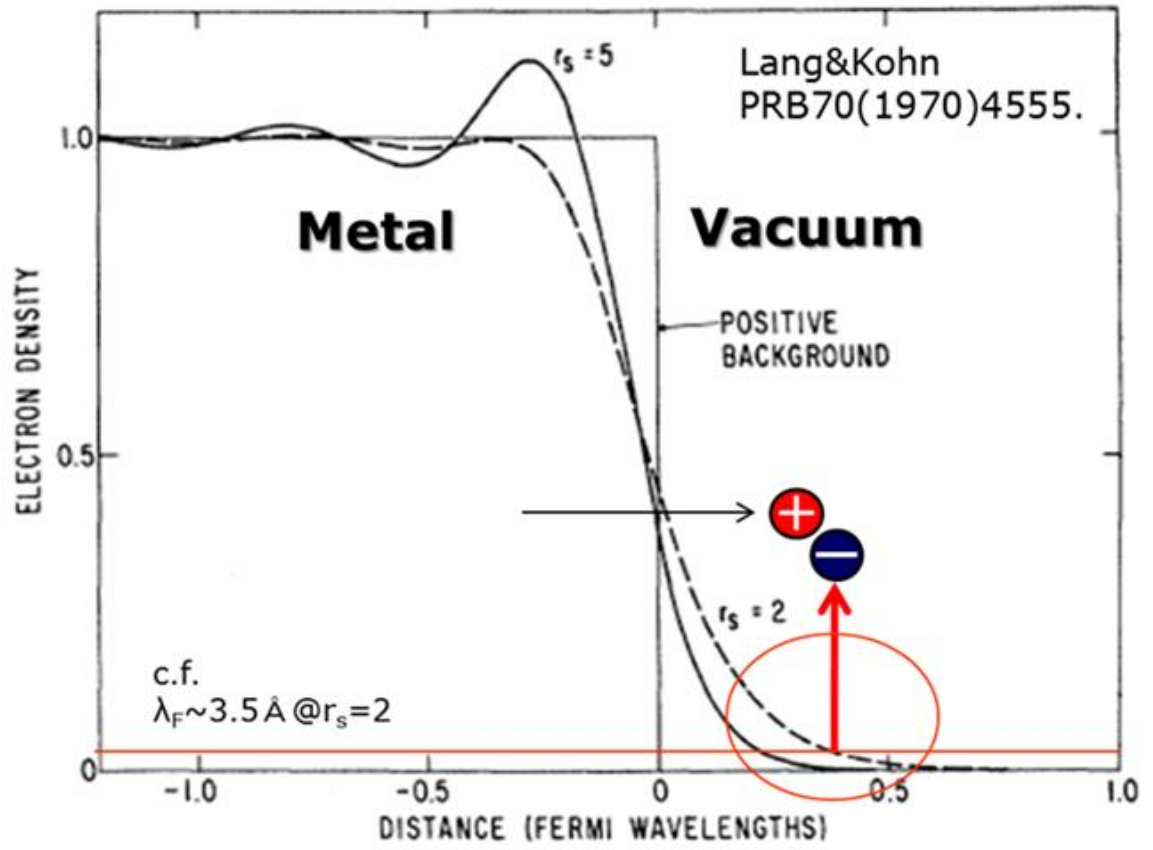
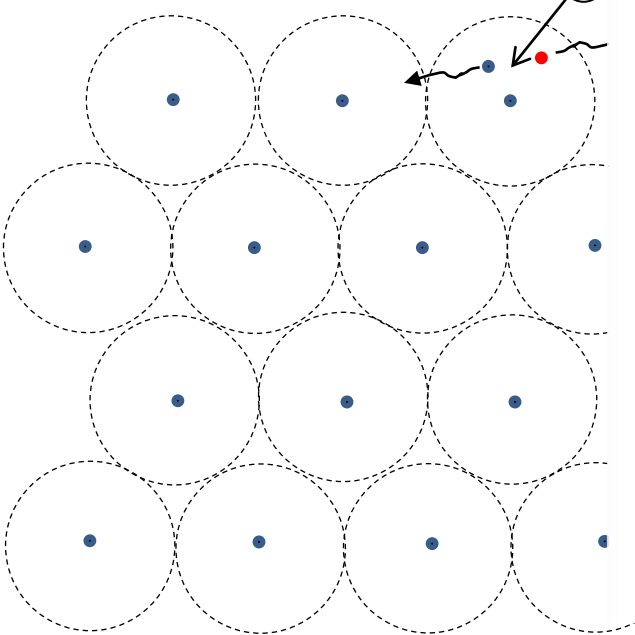
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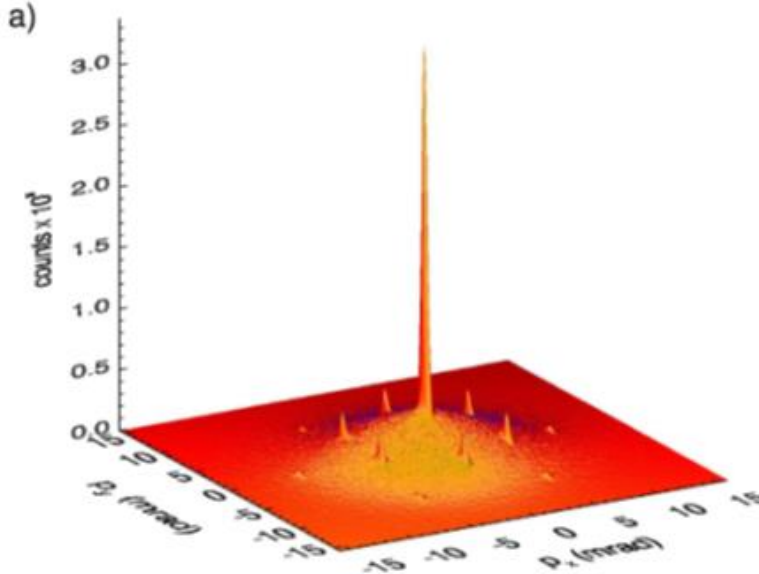
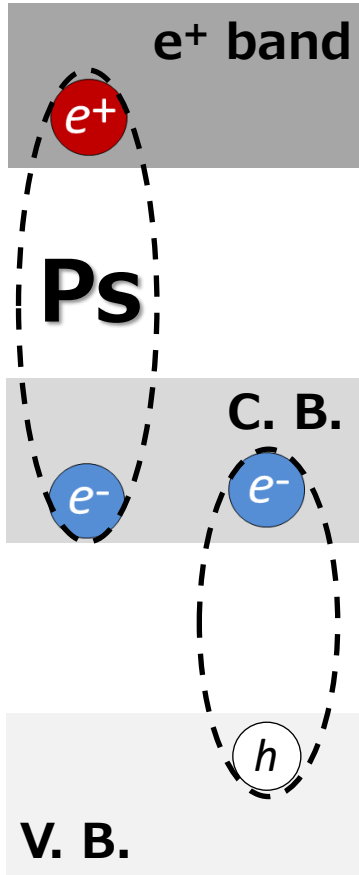
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# Ps formation in insulators

Wannier-Mott exciton  
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S B Dugdale et al 2013 *J. Phys.: Conf. Ser.* **443** 012083

Metal : Electron screening

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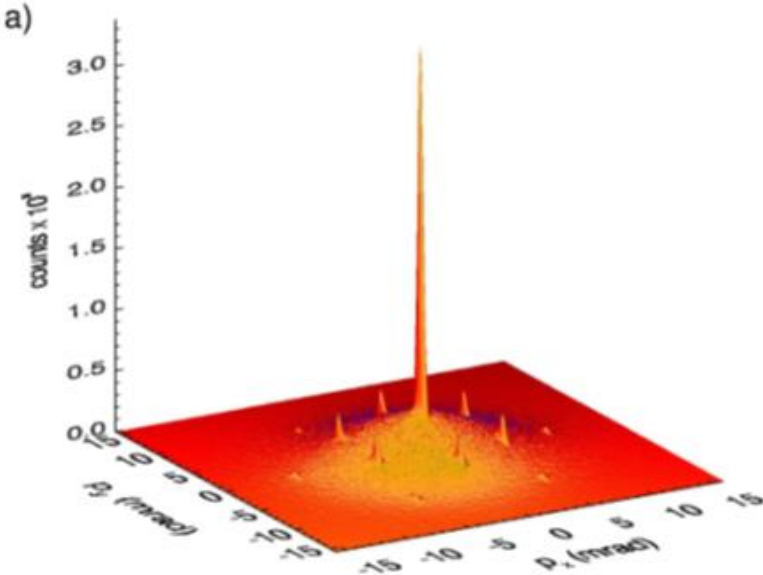
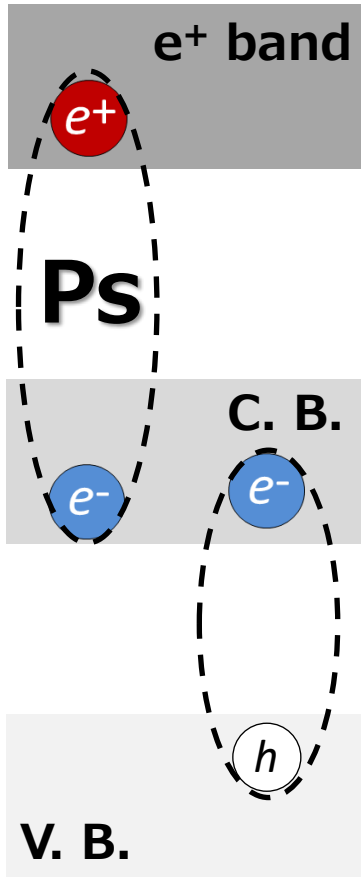


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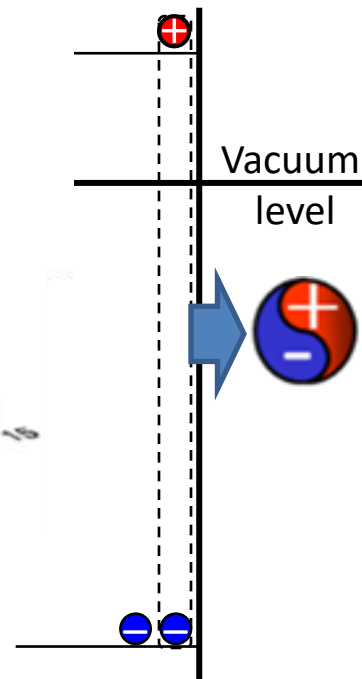
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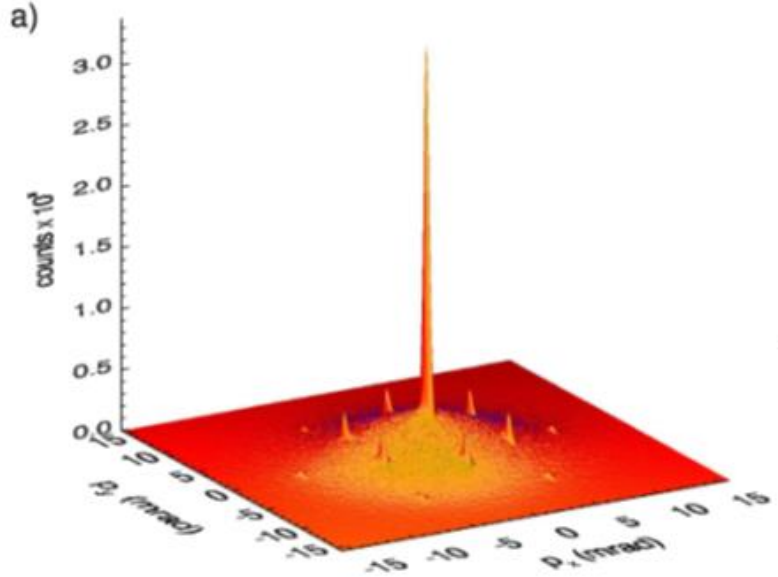
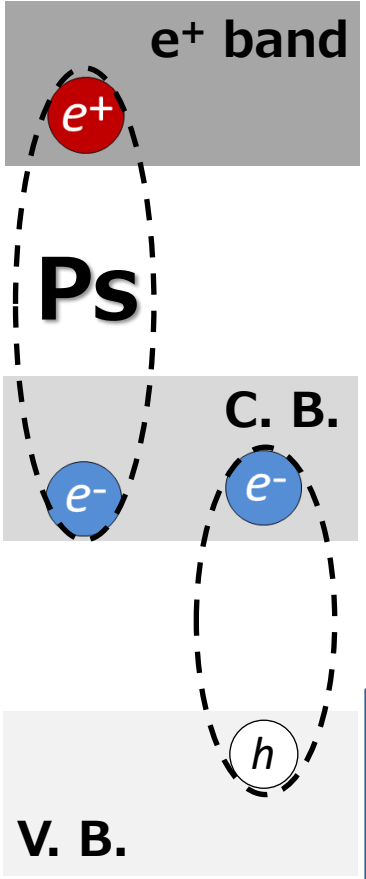
**Ps emission inside to vacuum**

Y. Nagashima et. al.,  
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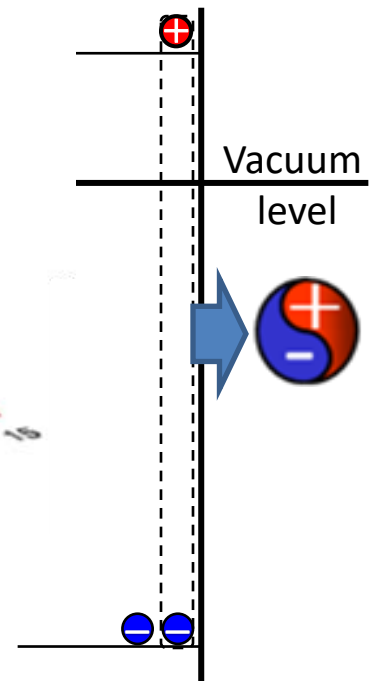
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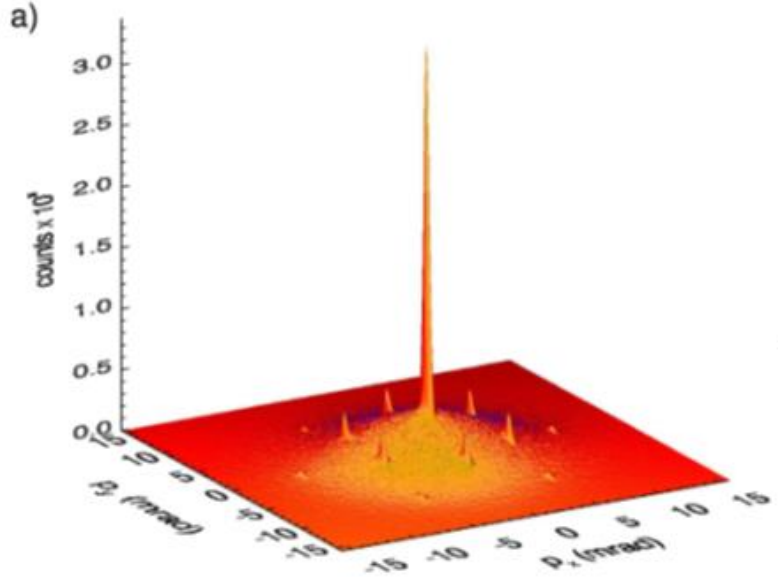
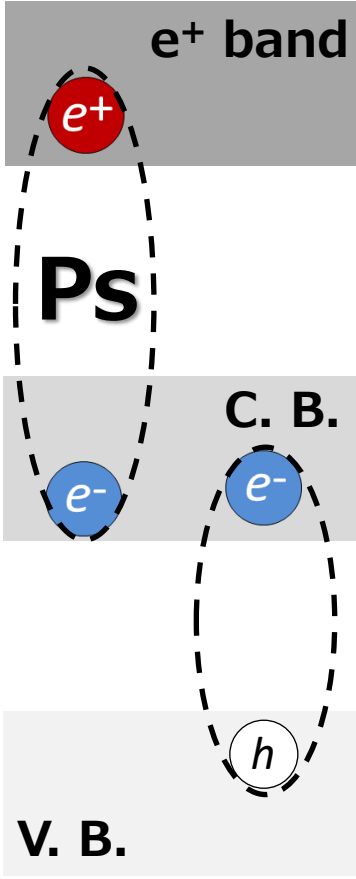
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Emission of **e+** and **e-** as **Ps** → Formation potential (Neg.)

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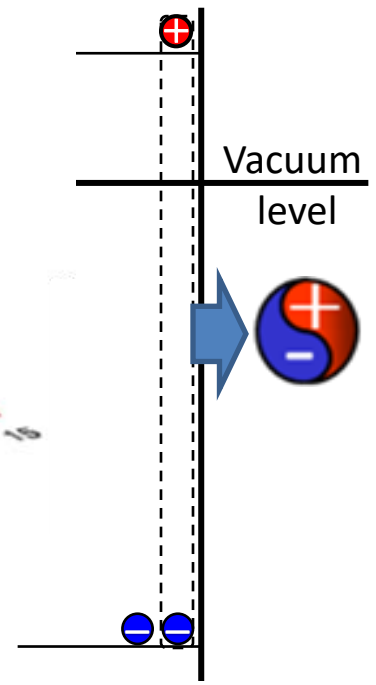
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Emission of **e<sup>+</sup>** and **e<sup>-</sup>** as **Ps** → Formation potential (Neg.)  
 Emission of **Bloch Ps** → Work function (Neg.)

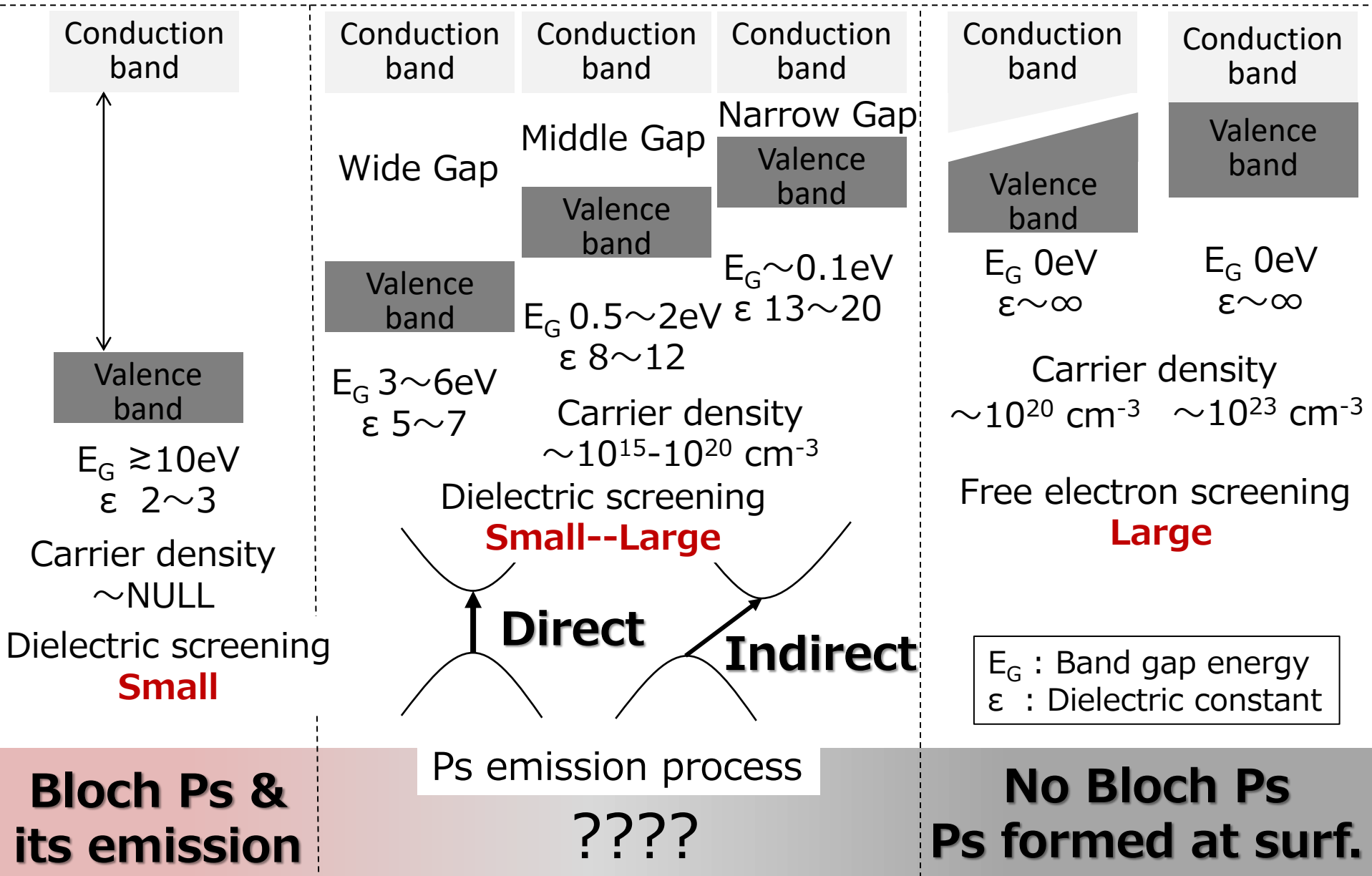
# Material classification by conductivity and Ps formation

## Insulator

## Semiconductor

## Semimetal

## Metal



# Expected characteristics?

**Insulator ··· Semiconductor ··· Metal**

Ps Bloch state ··· Yes in principle, hardly visible

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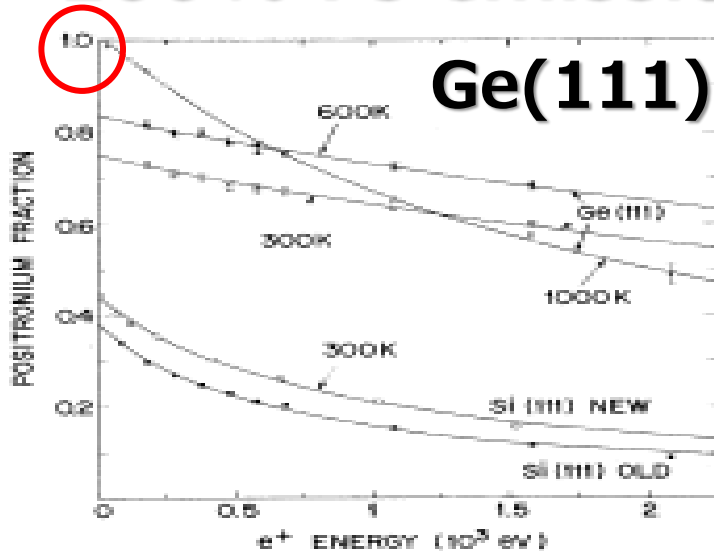
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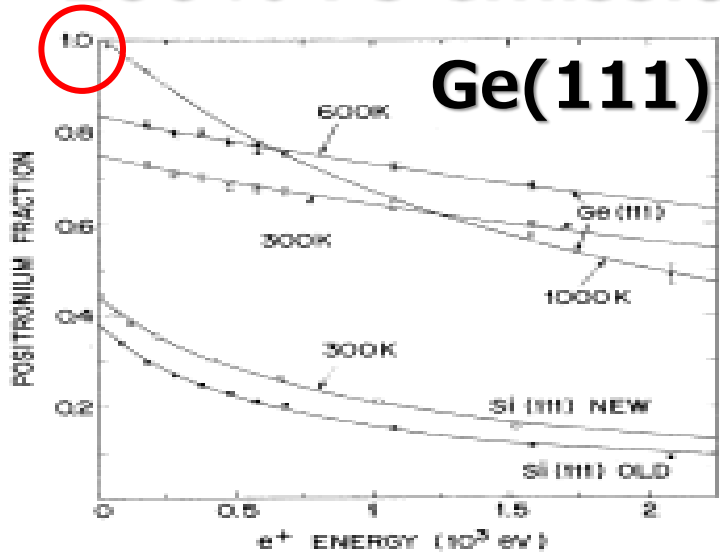
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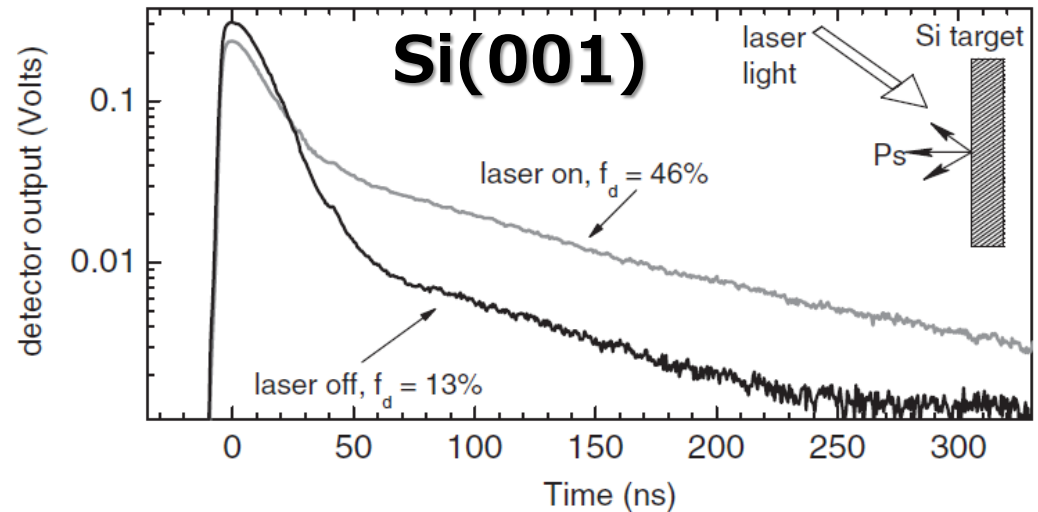
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**Photoemission of Ps**

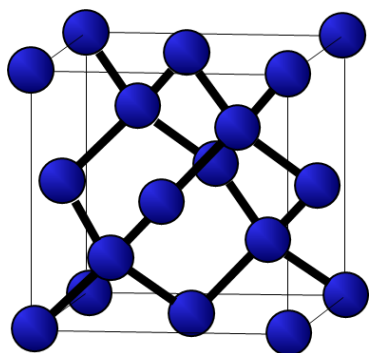


Mills PRL41(1978)1828-1831.

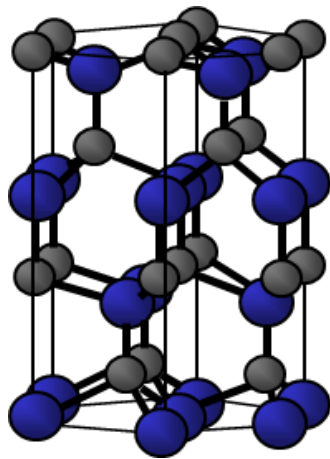


Cassidy, PRL107(2011)033401.

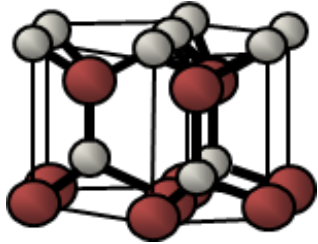
# Parameter-free GGA calculation



Si



SiC

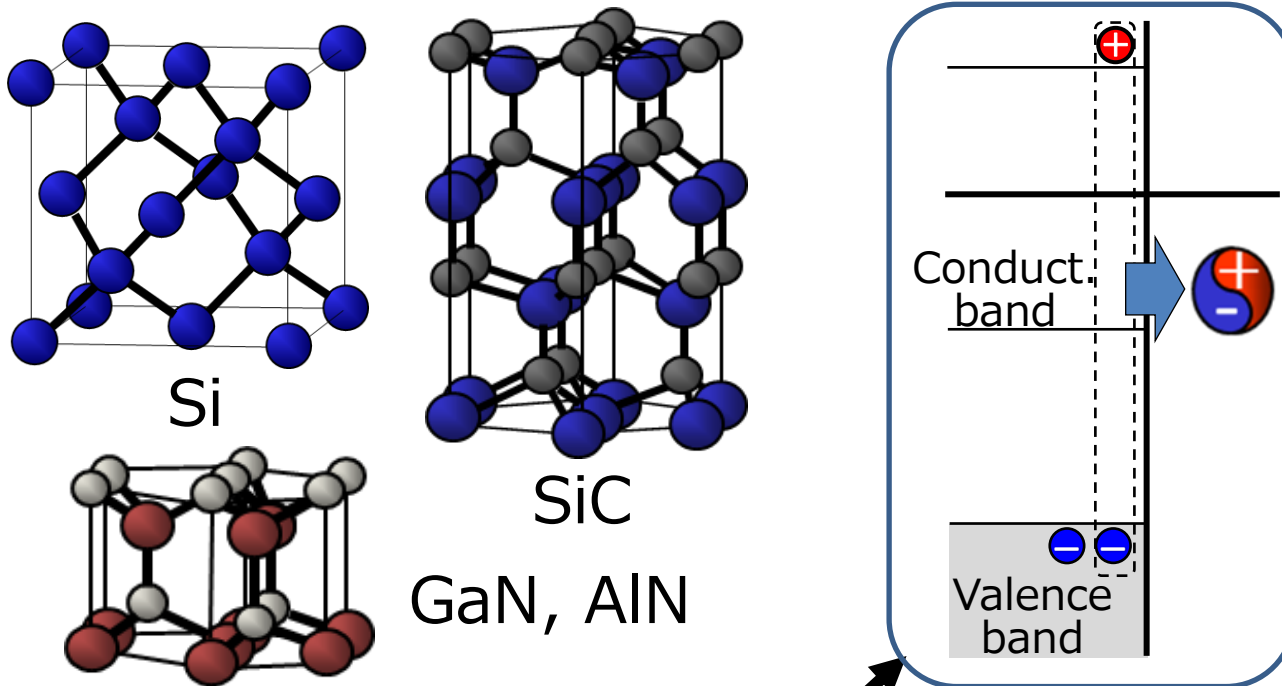


GaN, AlN

	Bulk calculation		Slab calculation			
	$A_+$	$\Phi_{ps}$	Surface	$\varphi_-$	$\varphi_+$	$E_B$
Si	-6.38eV	<b>-0.42eV</b>	(111)	+4.56eV	<b>+1.82eV</b>	<b>+2.70eV</b>
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4H SiC	-4.71eV	<b>-2.10eV</b>	(0001)	+6.44eV	<b>-1.74eV</b>	<b>+2.24eV</b>
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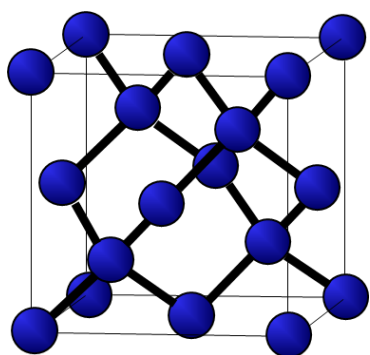


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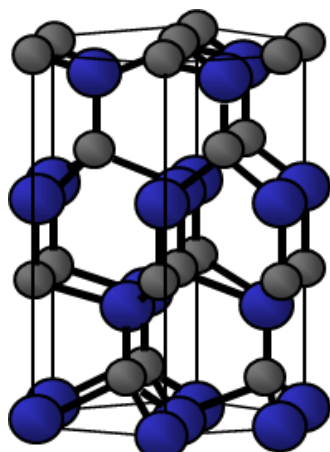


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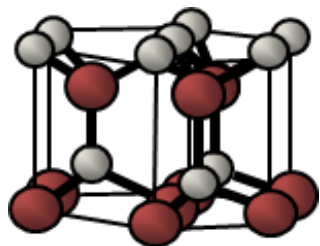
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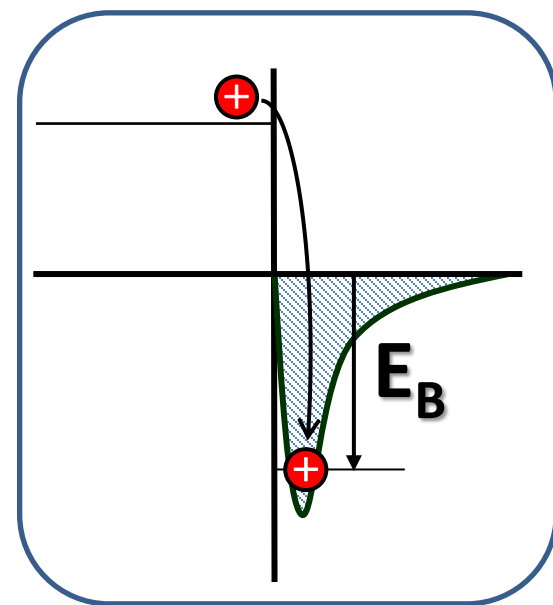
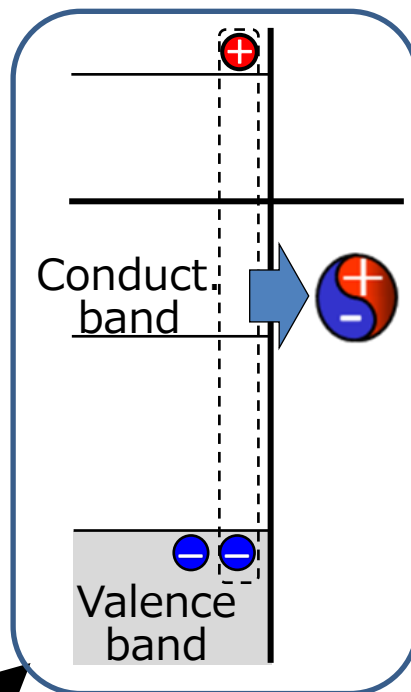
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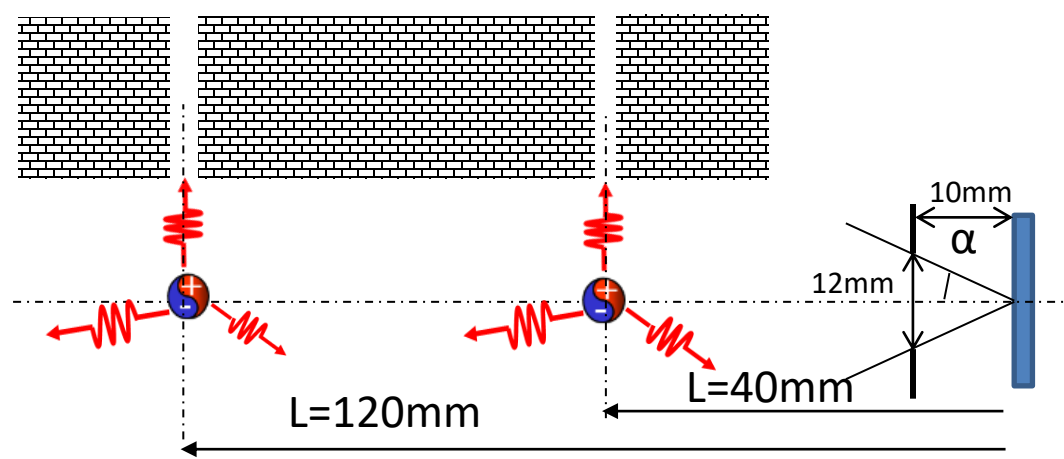
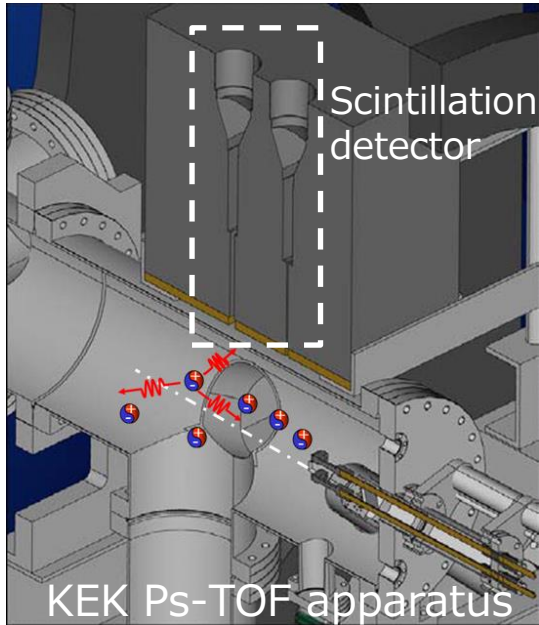


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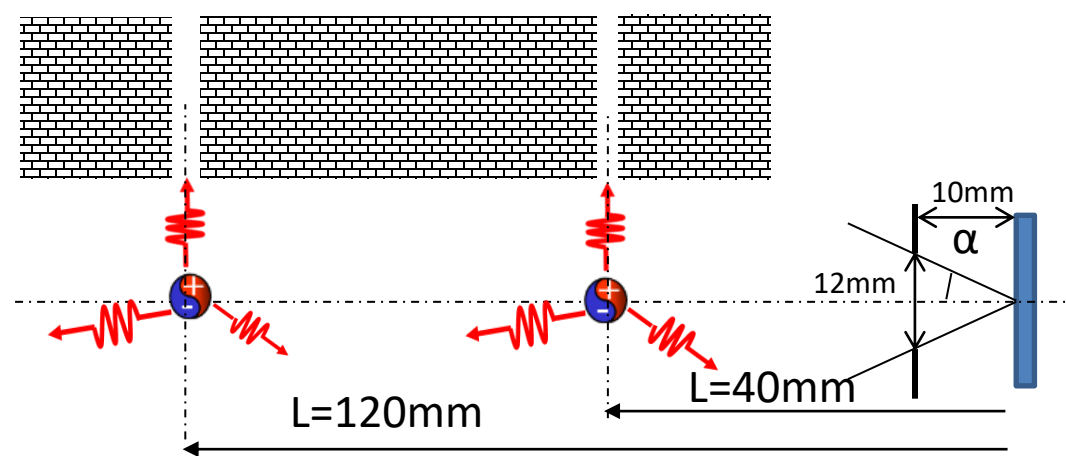
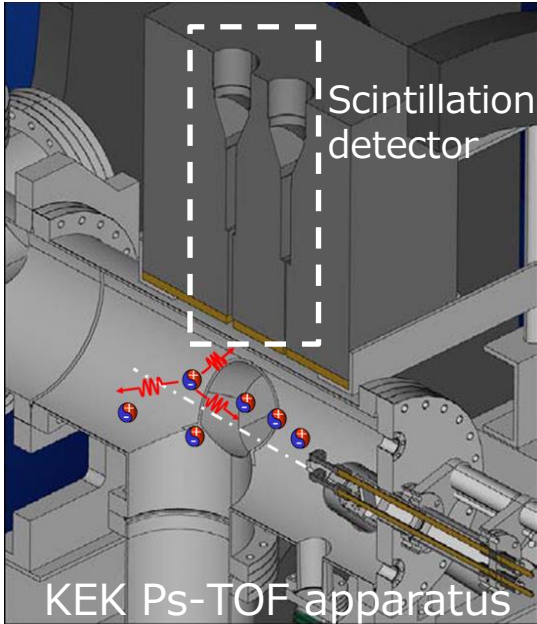


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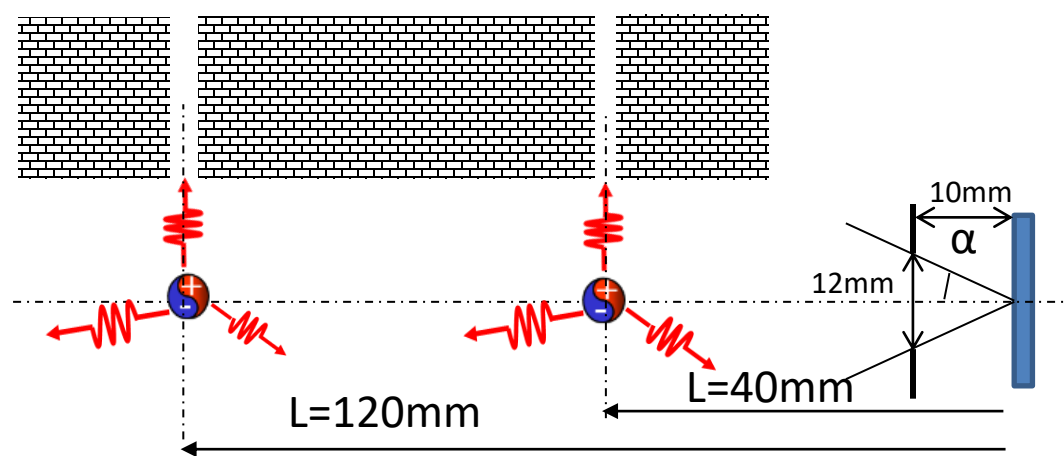
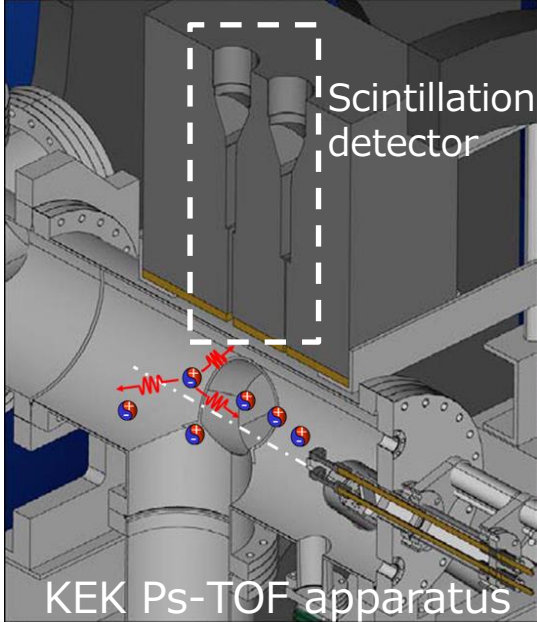
# Experiment



$$\frac{dN(E_{\perp})}{dE_{\perp}} \propto t^2 \exp(t / 142) N_{TOF}(t)$$

Energy Spectrum TOF Spectrum

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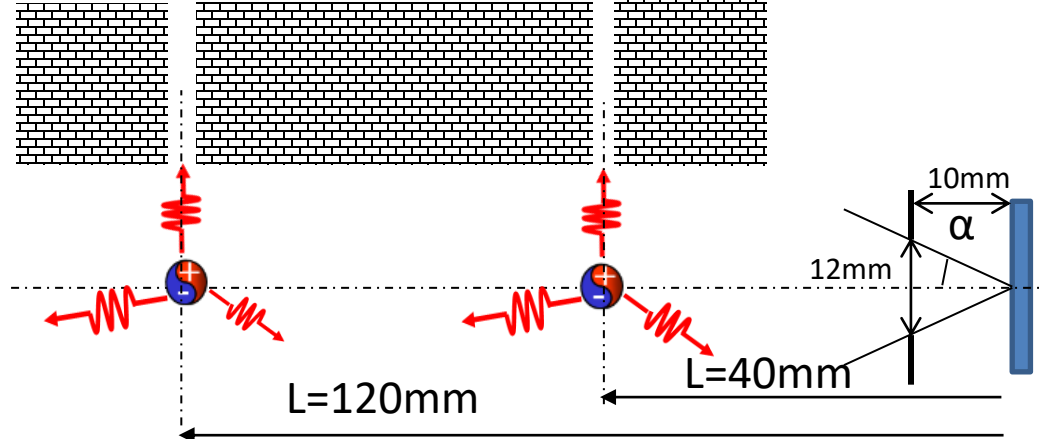
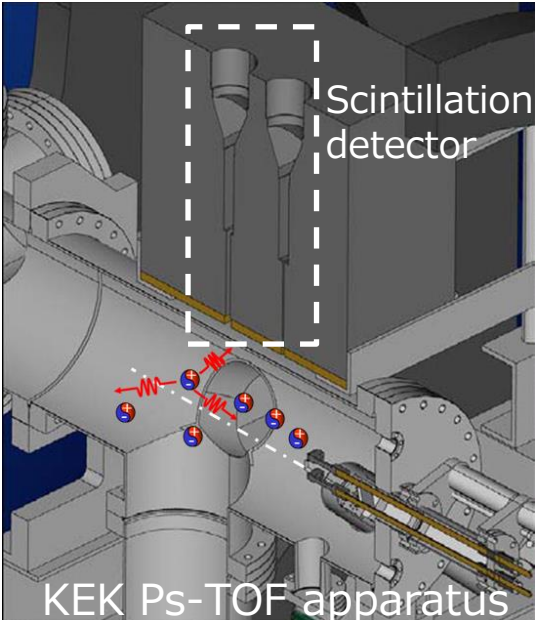


$$\frac{dN(E_{\perp})}{dE_{\perp}} \propto t^2 \exp(t / 142) \underline{N_{TOF}(t)} \quad \text{TOF Spectrum}$$

Energy Spectrum

$$t = L\sqrt{m/E} \quad N_{TOF}(t) \rightarrow N_{TOF}(E)$$

# Experiment



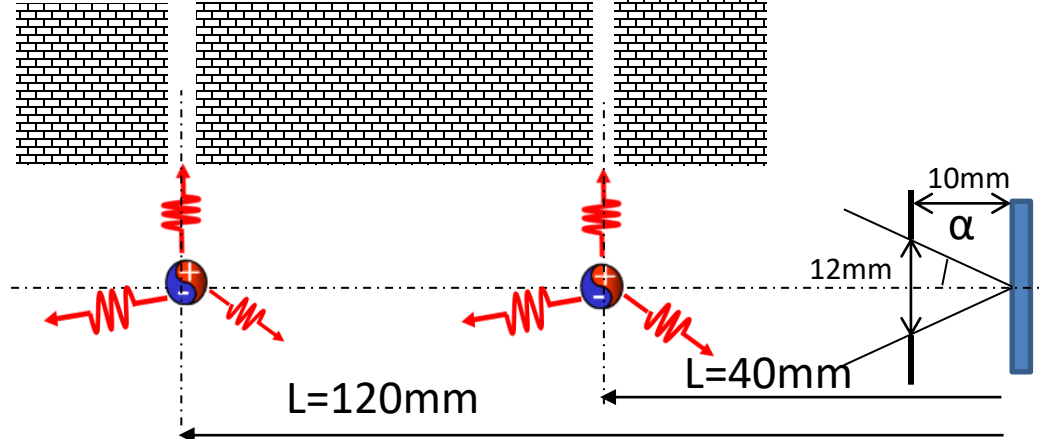
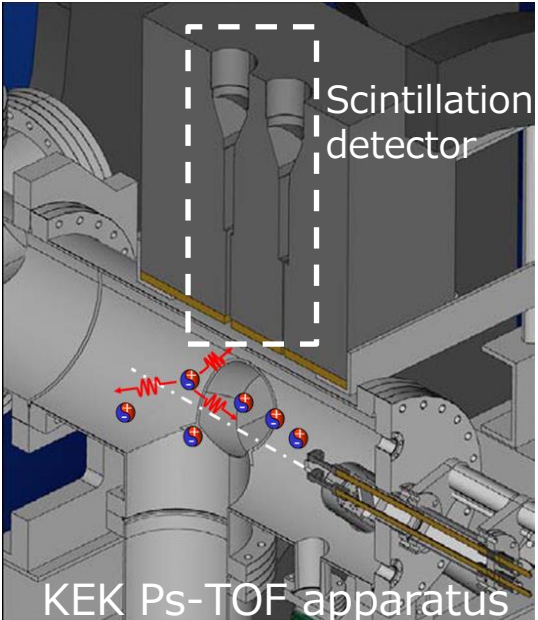
$$\frac{dN(E_{\perp})}{dE_{\perp}} \propto t^2 \exp(t / 142) N_{TOF}(t)$$

Energy Spectrum
TOF Spectrum

$$t = L\sqrt{m / E} \quad N_{TOF}(t) \rightarrow N_{TOF}(E)$$

Material	Characteristics	Treatment
<b>Si(111)</b>	<b>Sb-dope (n:1x10<sup>18</sup>cm<sup>-3</sup>)</b>	<b>HF &amp; UPW cleaning</b>
<b>4H SiC(0001)</b>	<b>N-dope (n:10<sup>17</sup>cm<sup>-3</sup>)</b>	<b>HF &amp; UPW cleaning</b>
<b>h GaN(0001)</b>	<b>Undope (n-type)</b>	<b>Ethanol cleaning</b>
<b>h AlN(0001)</b>	<b>Undope</b>	<b>Ethanol cleaning</b>

# Experiment



$$\frac{dN(E_{\perp})}{dE_{\perp}} \propto t^2 \exp(t / 142) N_{TOF}(t)$$

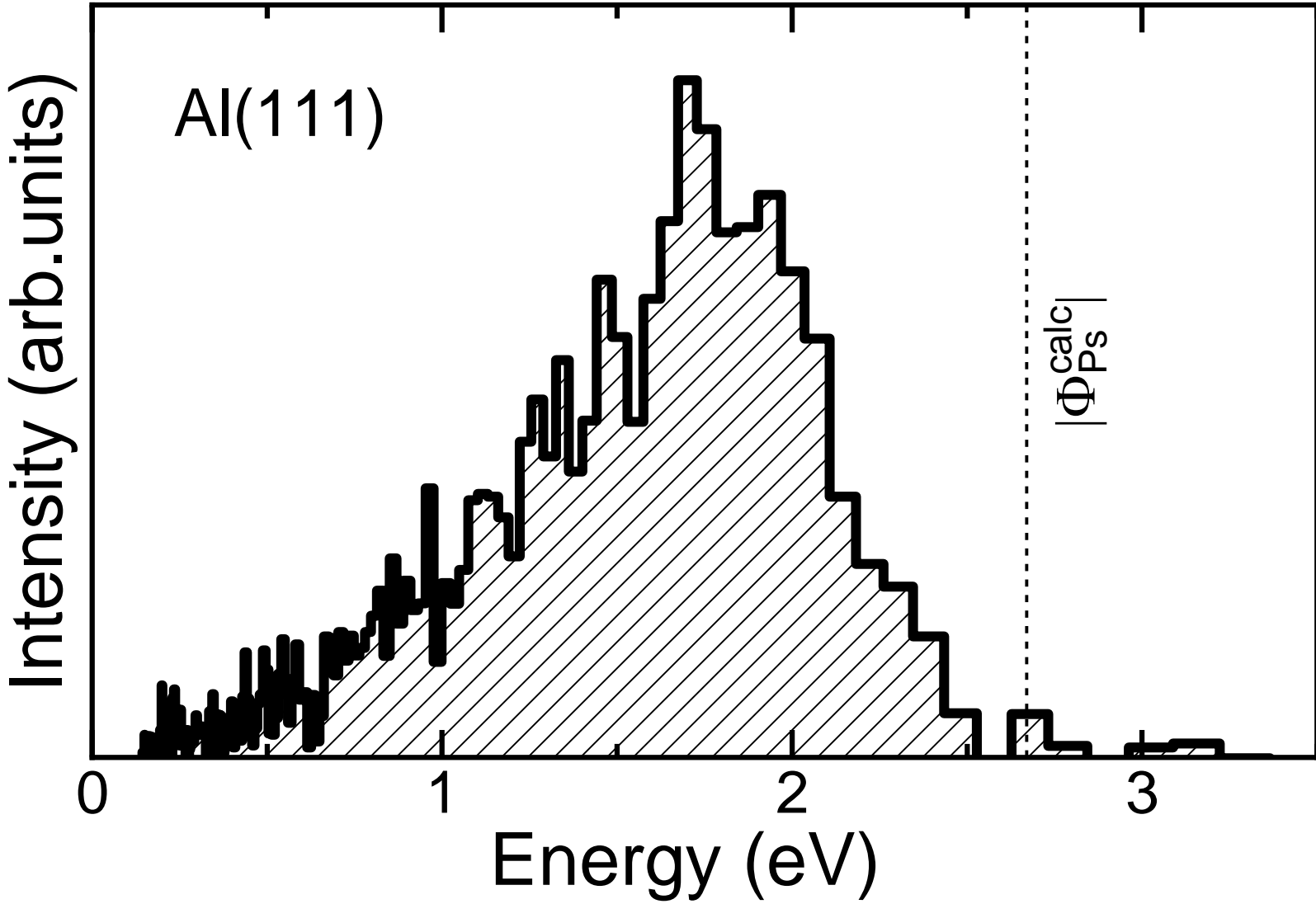
Energy Spectrum TOF Spectrum

$$t = L\sqrt{m / E} \quad N_{TOF}(t) \rightarrow N_{TOF}(E)$$

Material	Characteristics	Treatment
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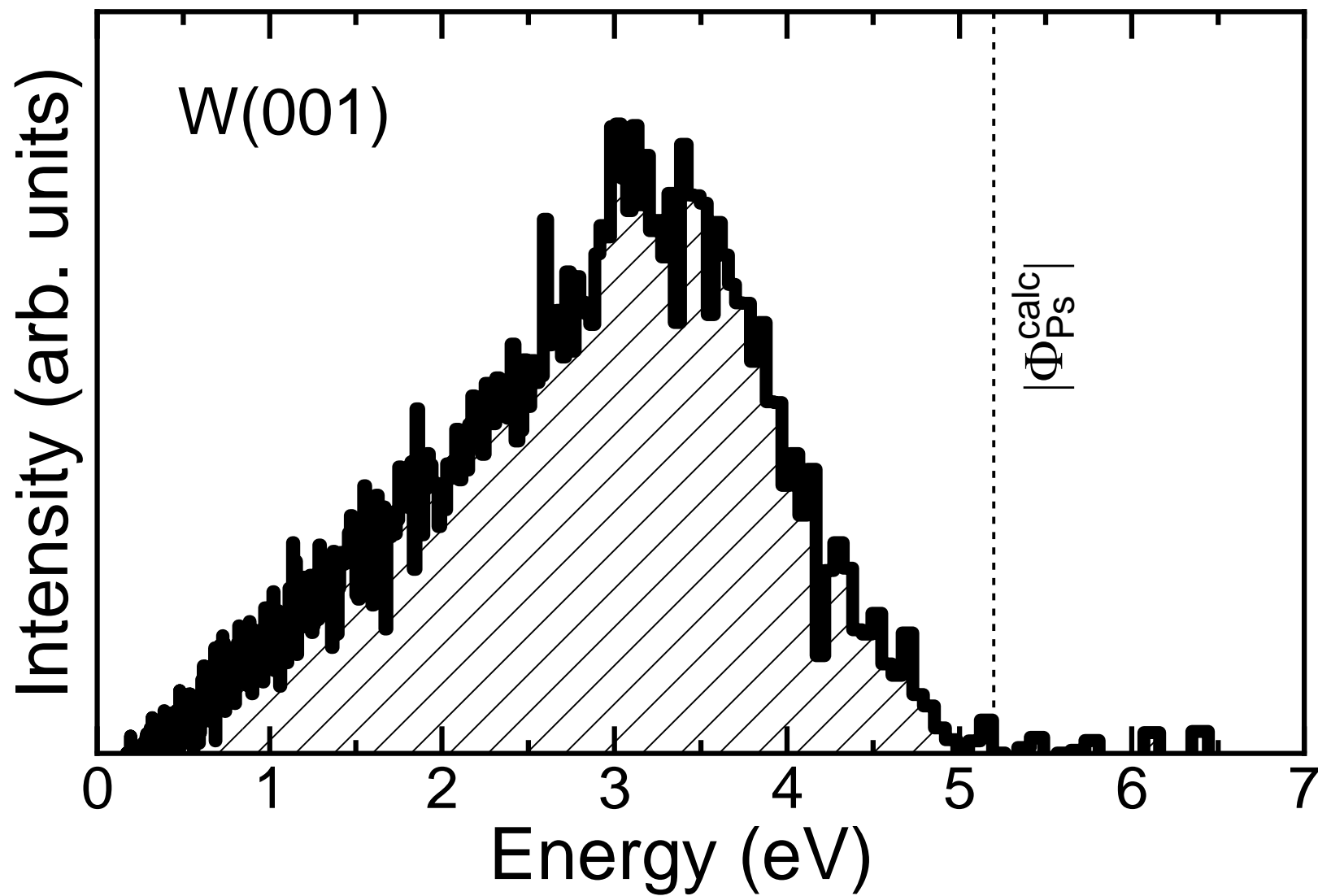
Transfer into UHV chamber  
 → Heated to 1000 K  
 → Cooling to 423 K, then measurement

# Ps TOF spectra for Metals

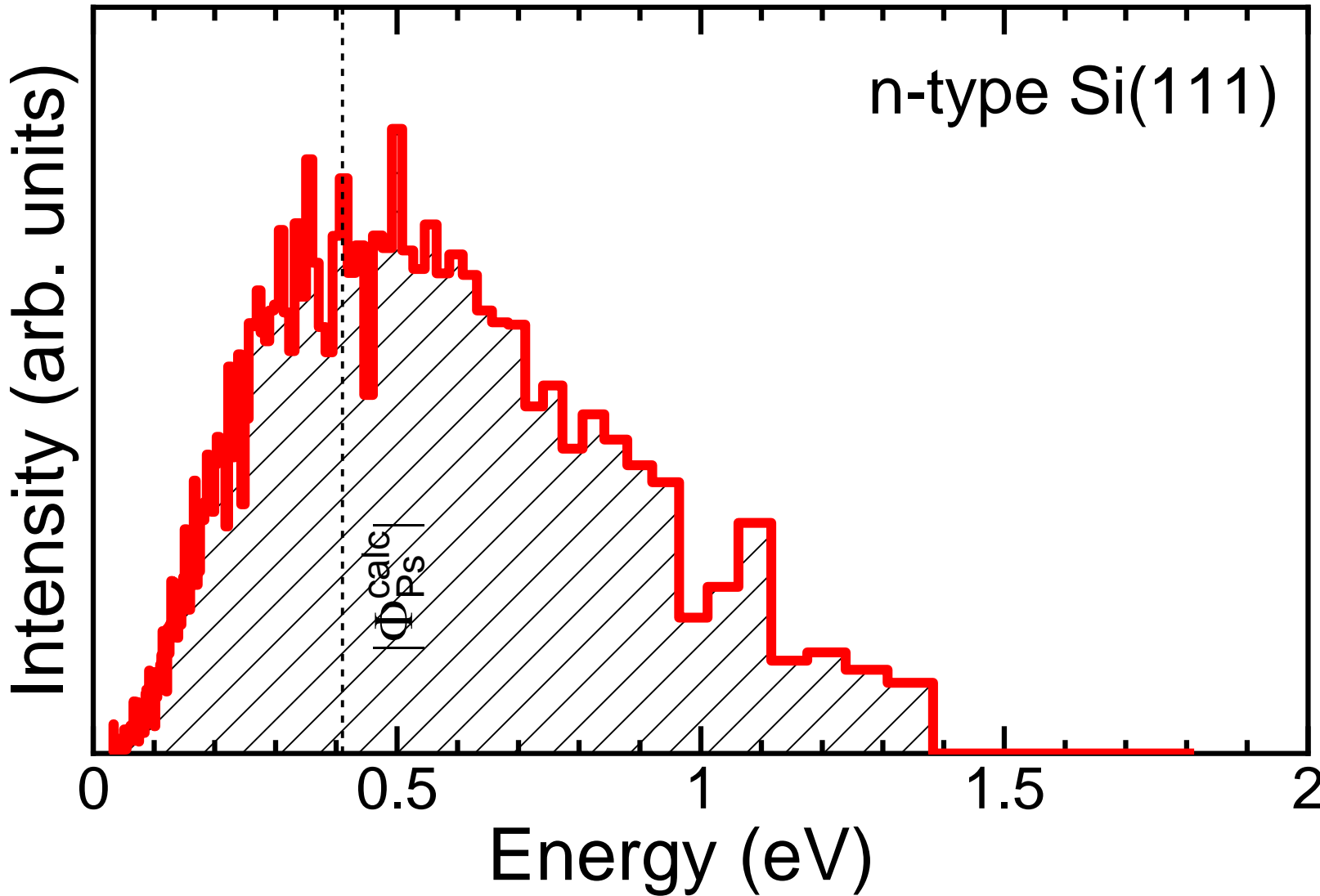




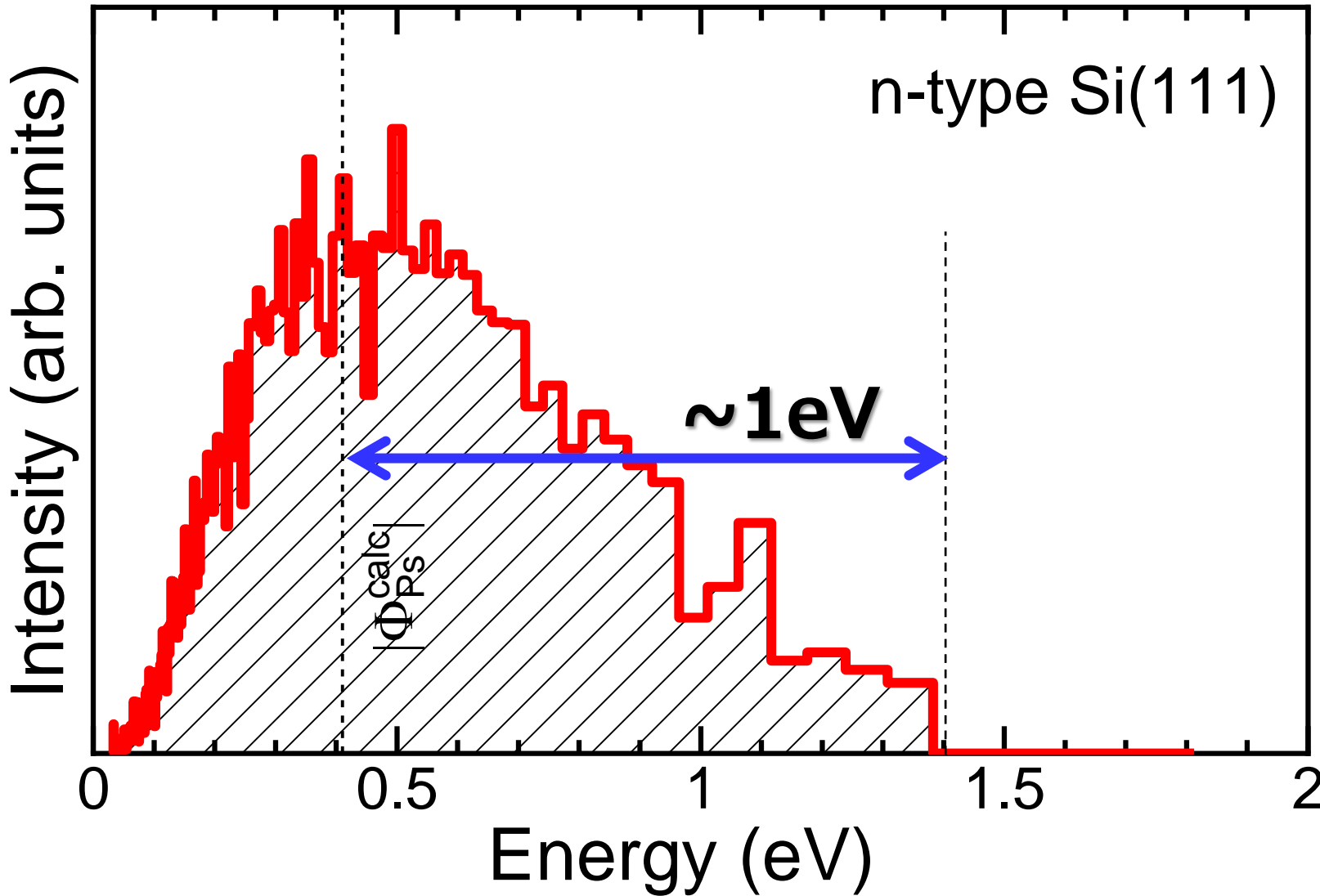
# Ps TOF spectra for Metals



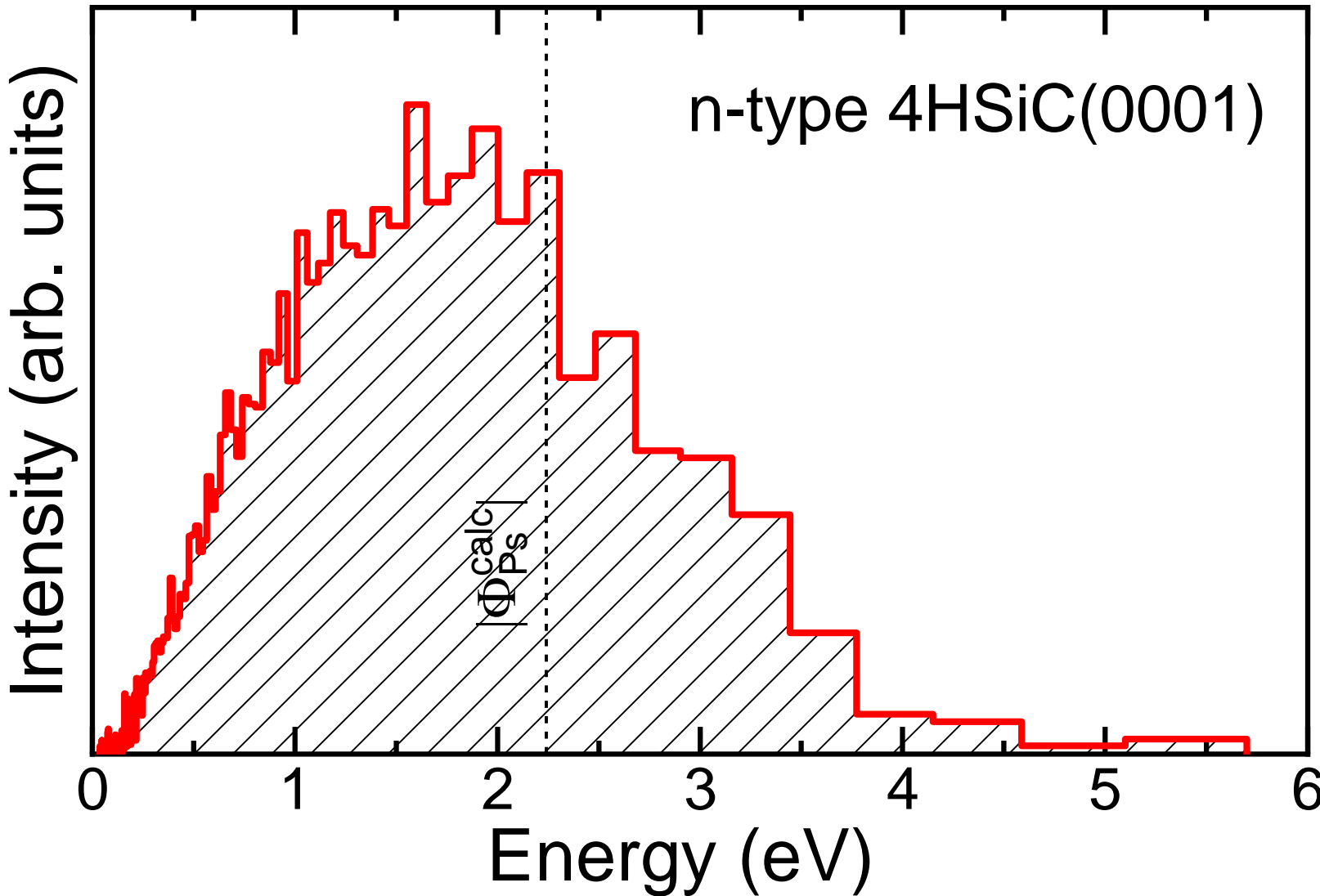
# Ps TOF spectra for semiconductors



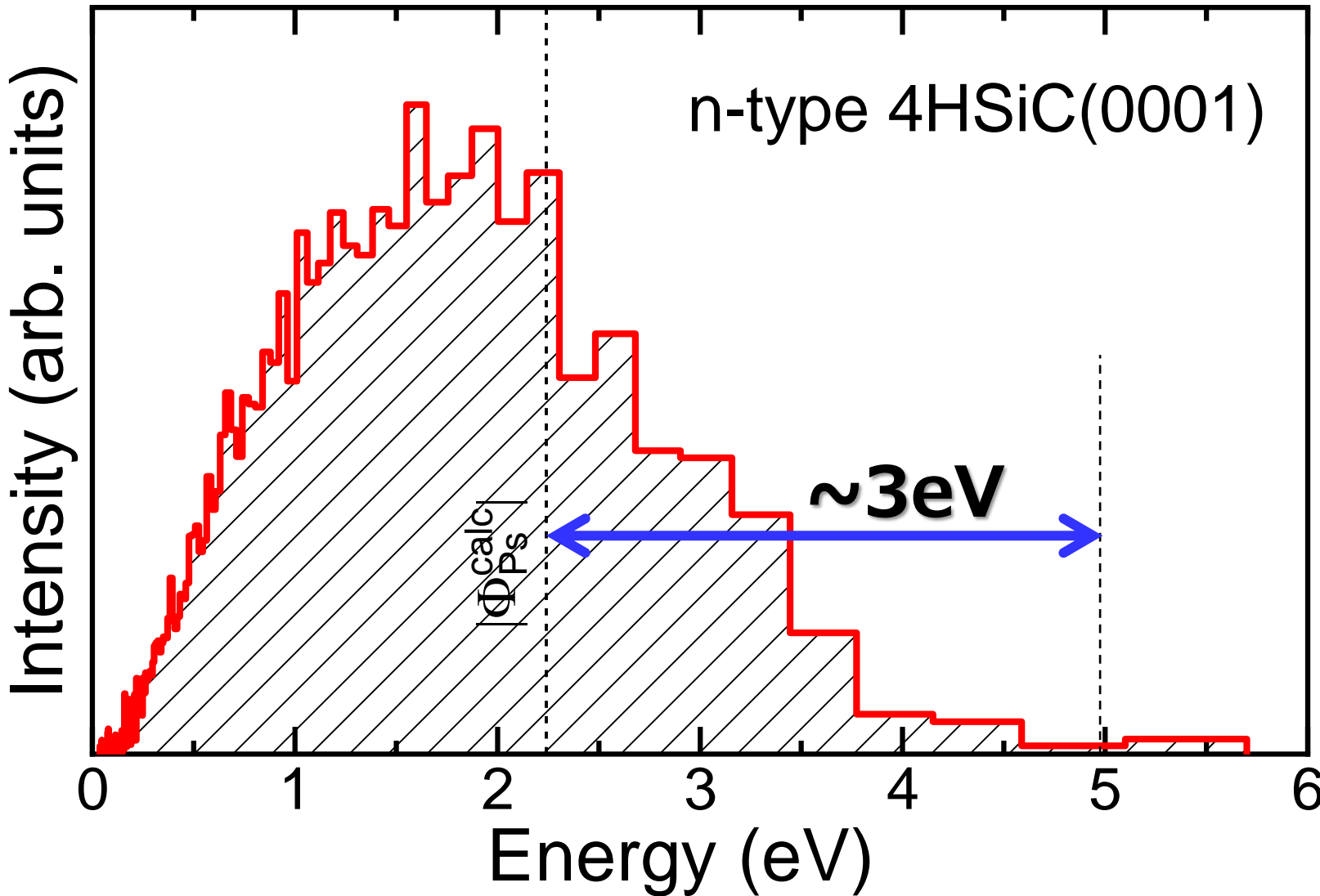
# Ps TOF spectra for semiconductors



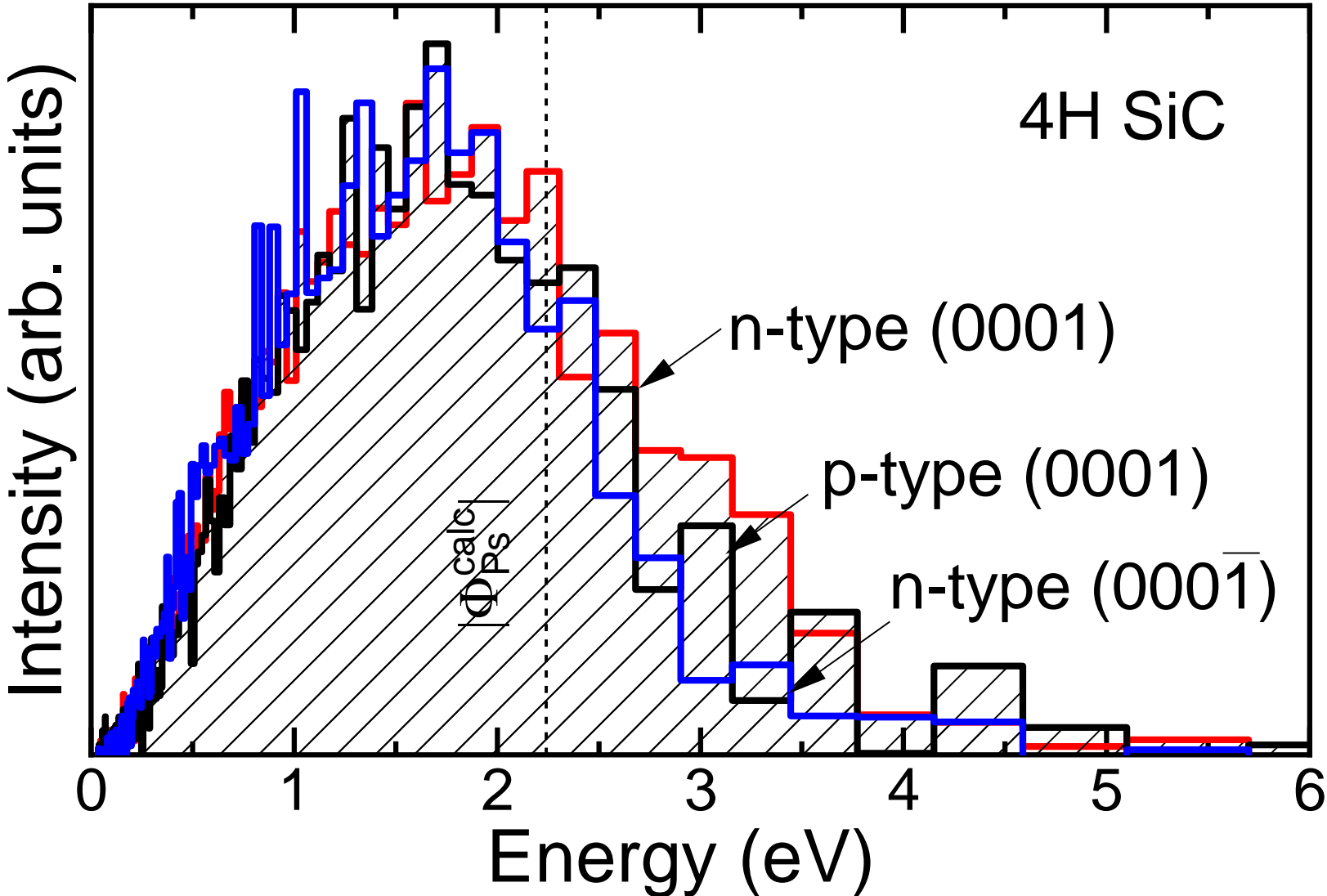
# Ps TOF spectra for semiconductors



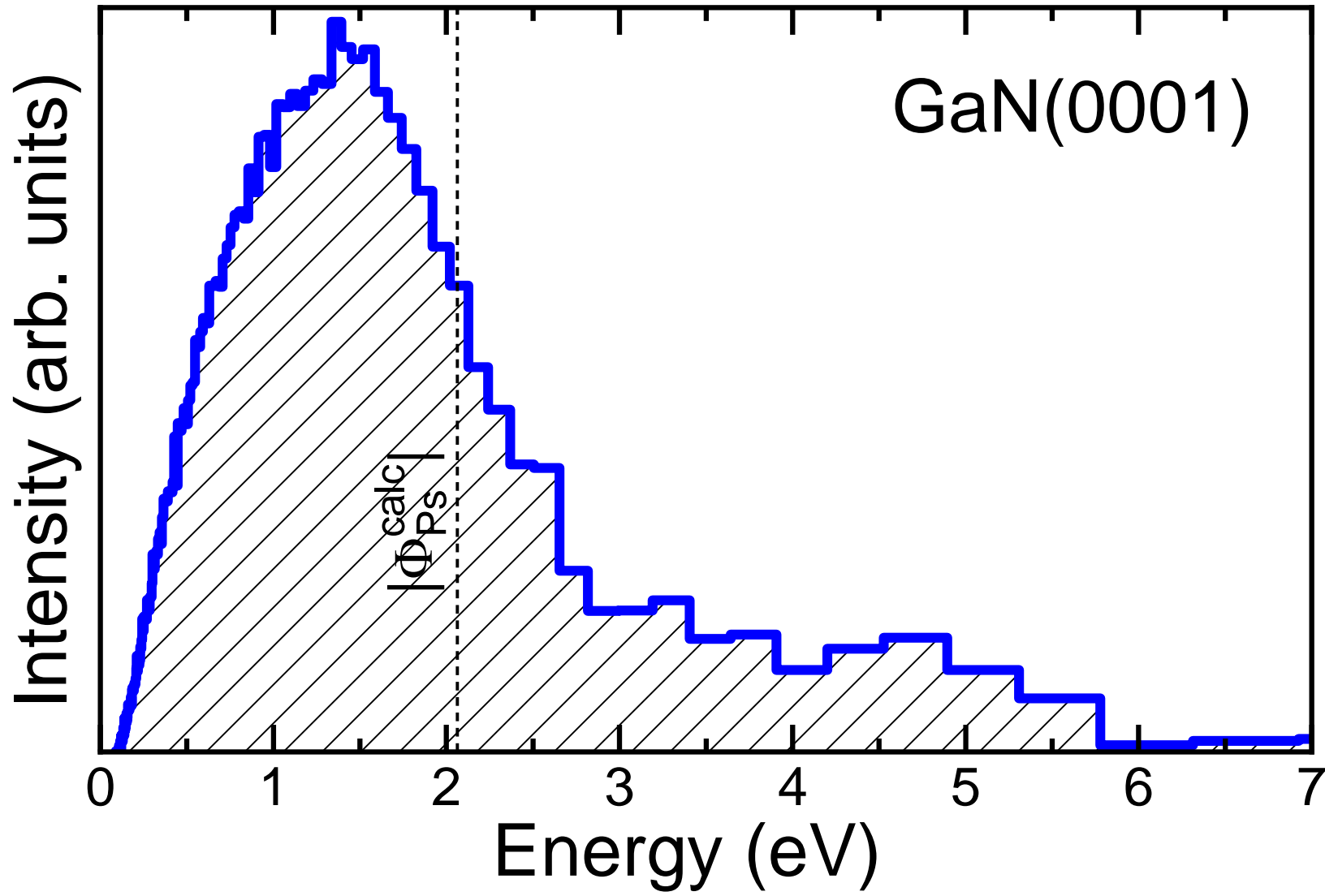
# Ps TOF spectra for semiconductors



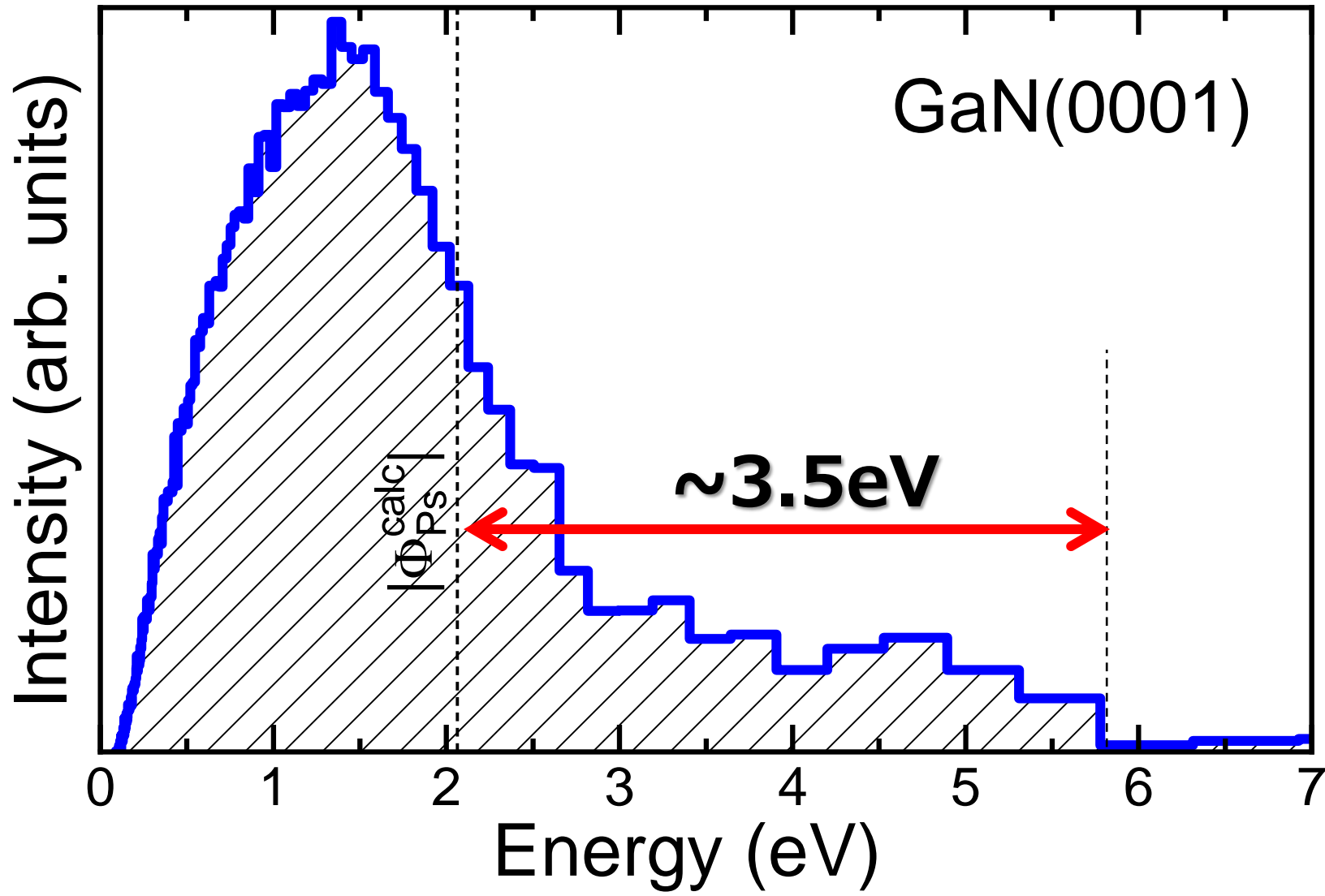
# Ps TOF spectra for semiconductors



# Ps TOF spectra for semiconductors

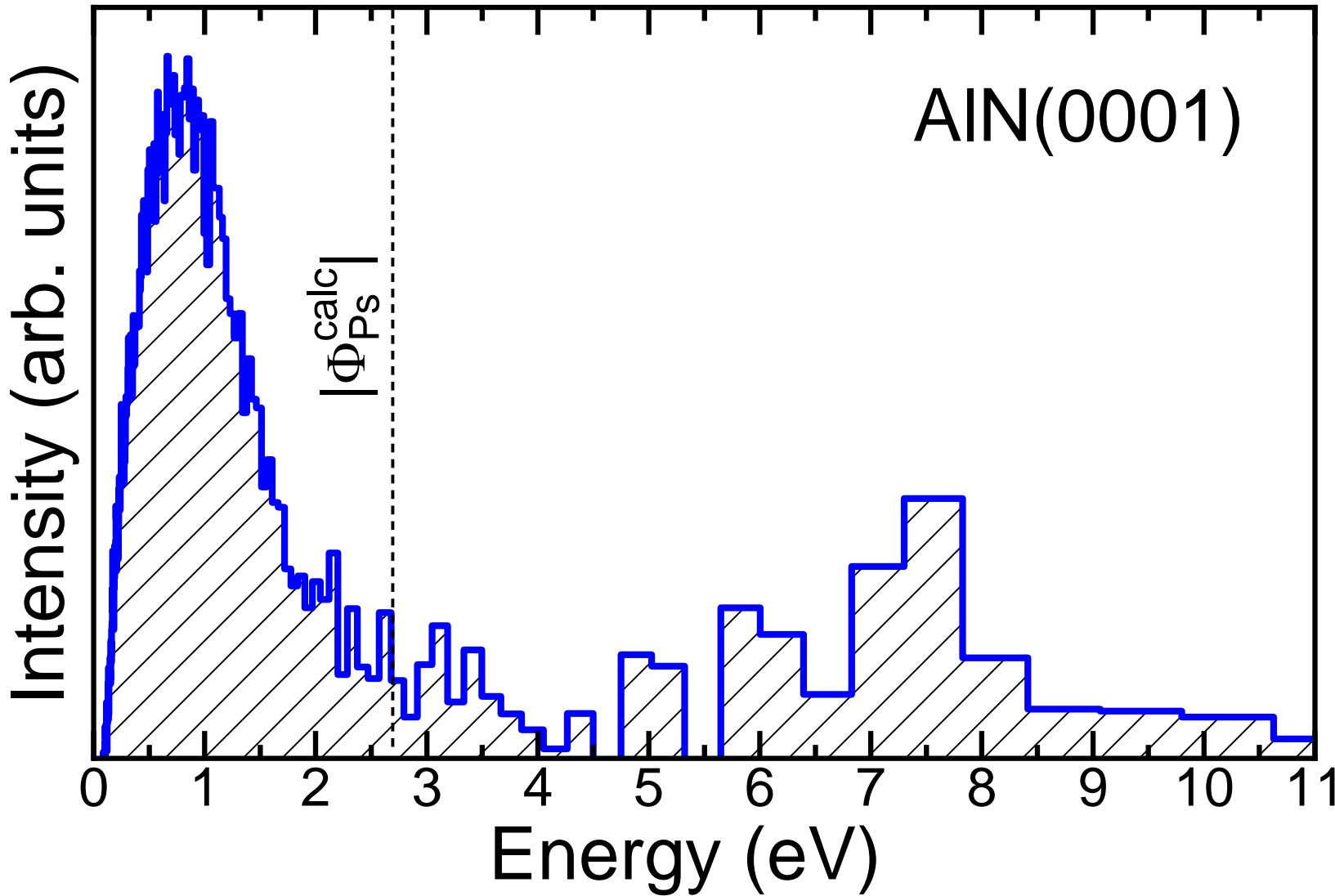


# Ps TOF spectra for semiconductors

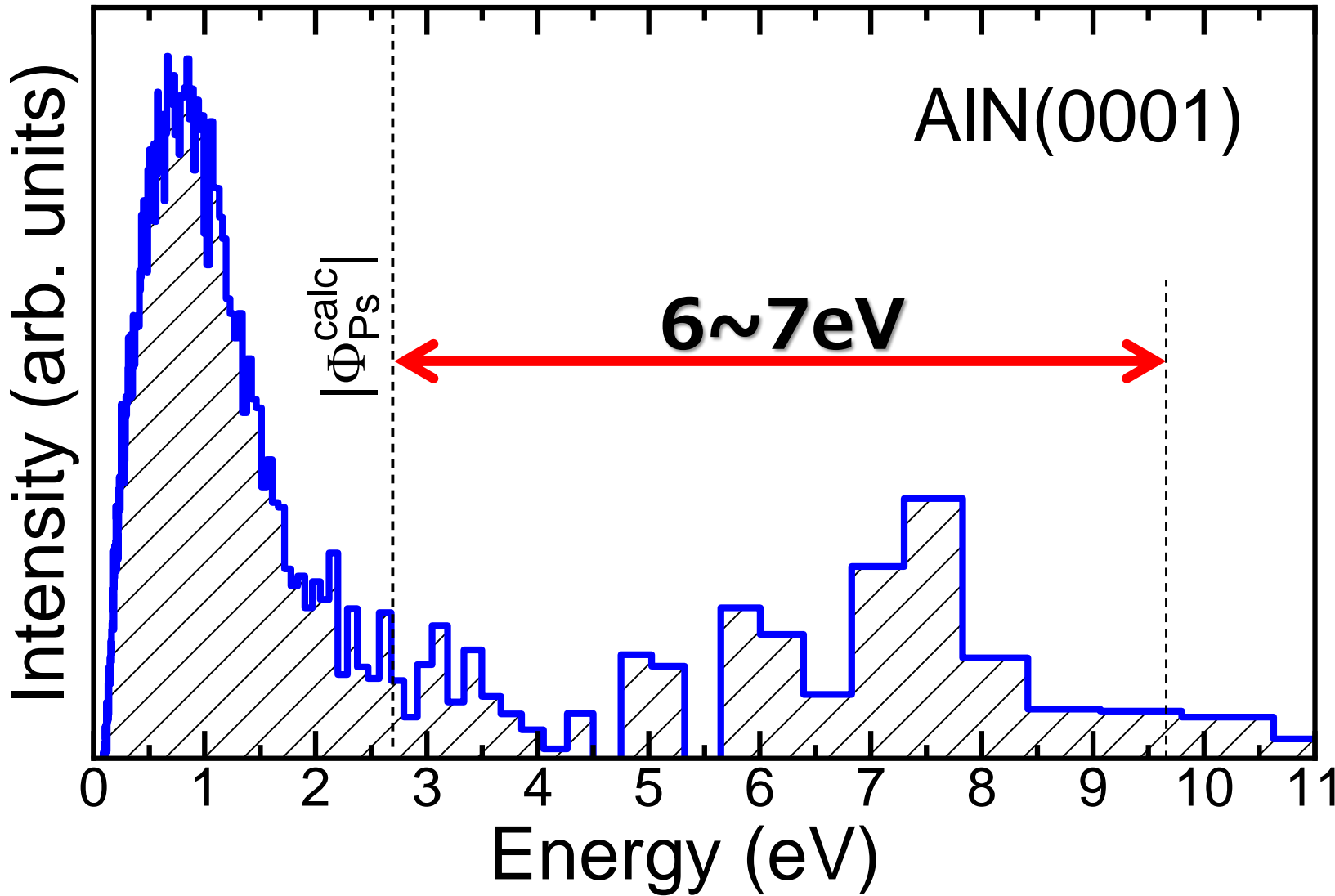


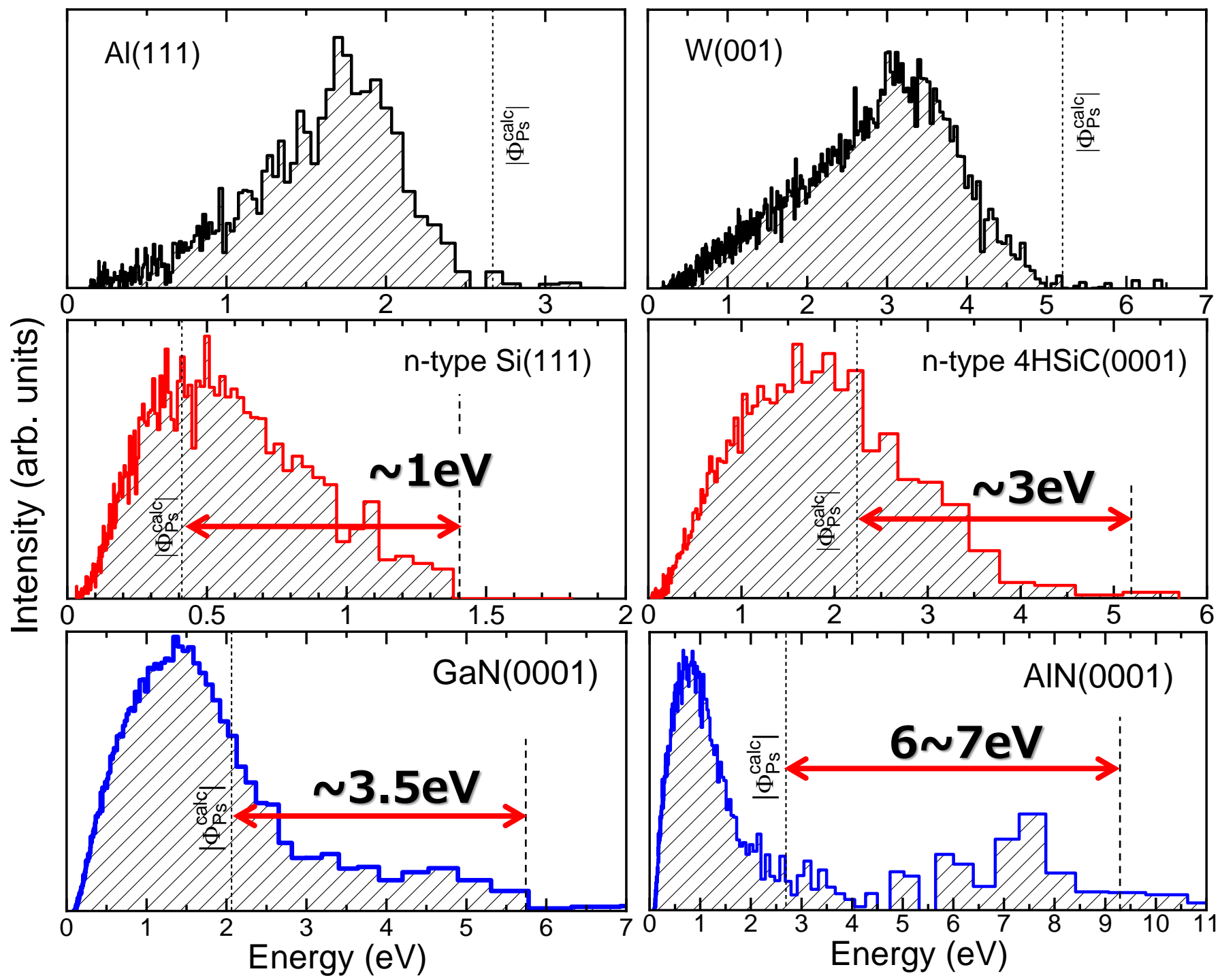


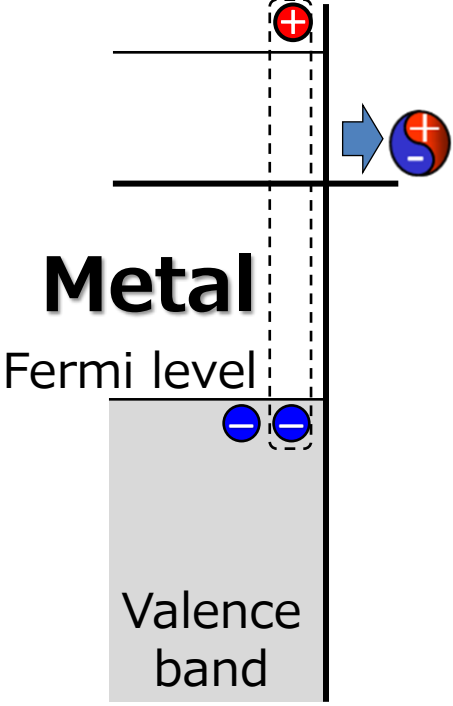
# Ps TOF spectra for semiconductors



# Ps TOF spectra for semiconductors



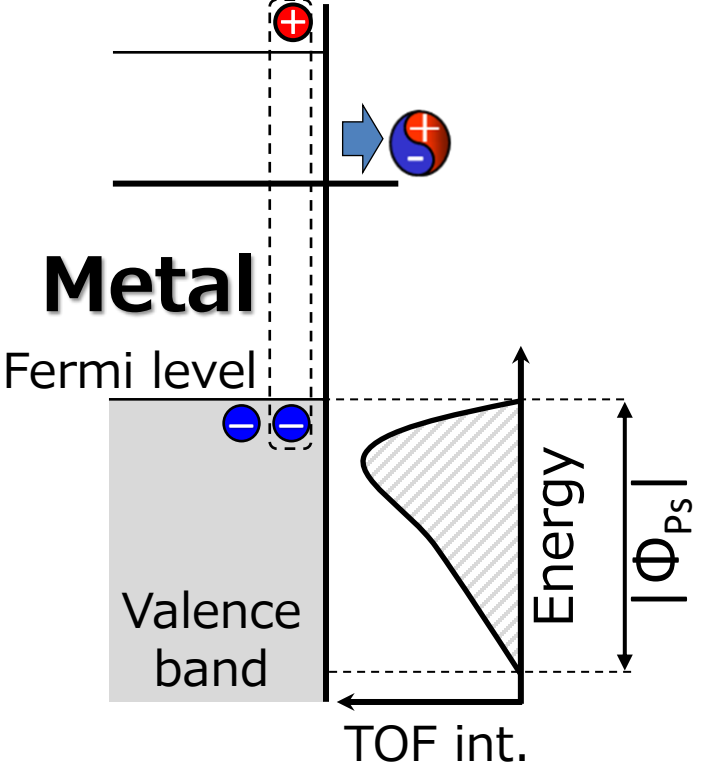


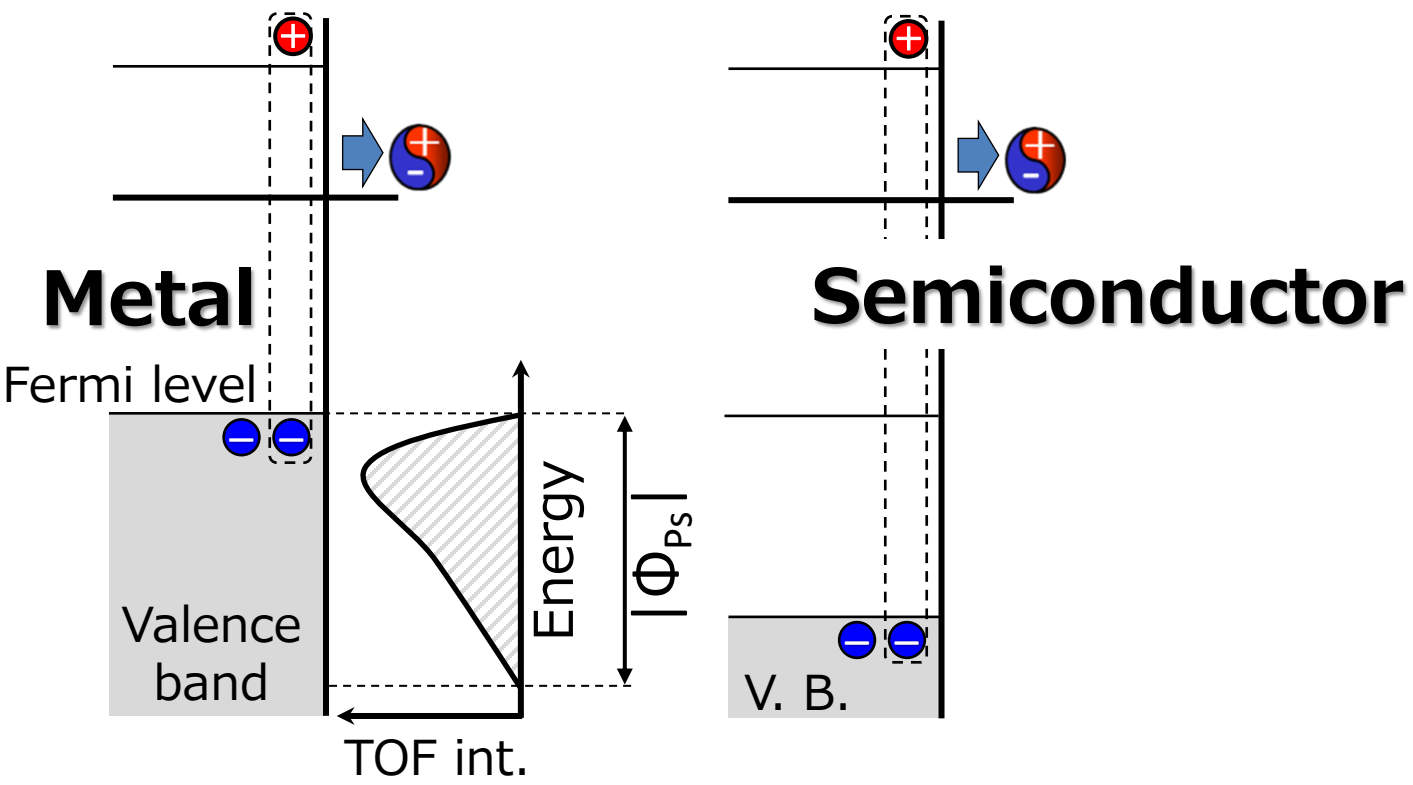


**Metal**

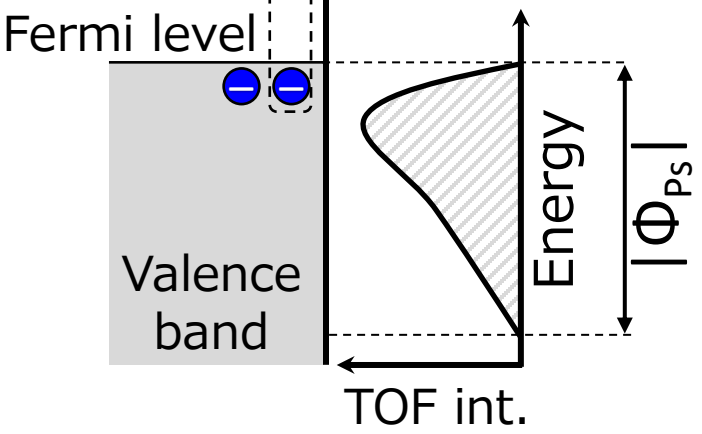
Fermi level

Valence  
band

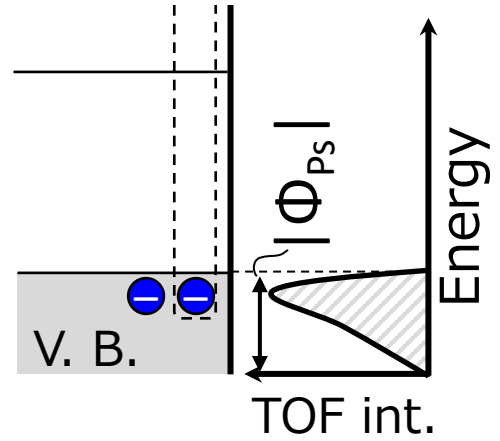


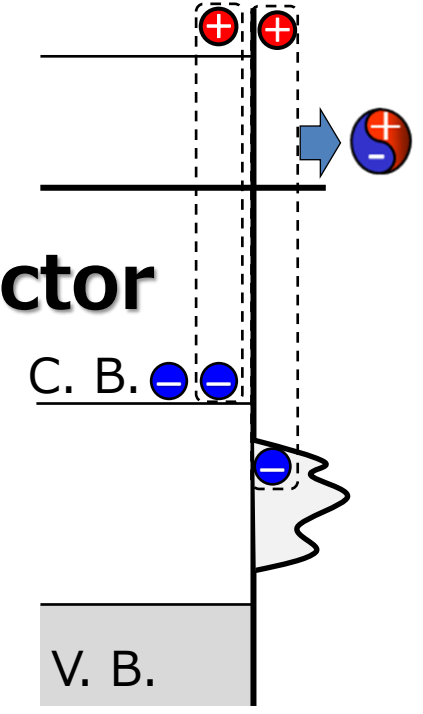
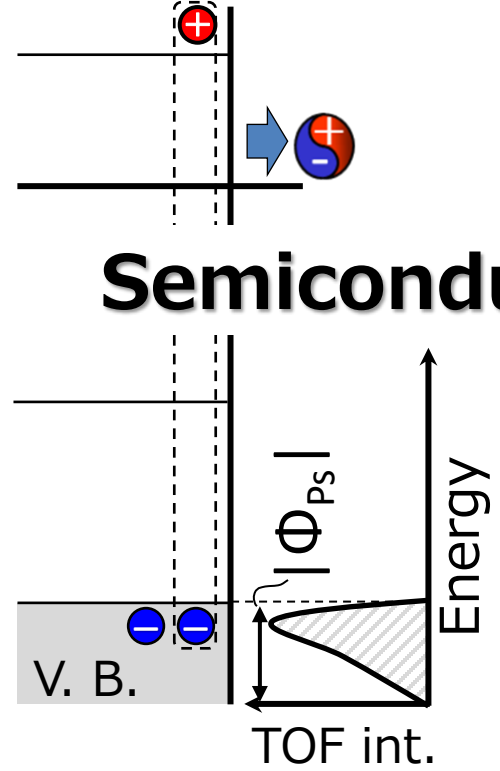
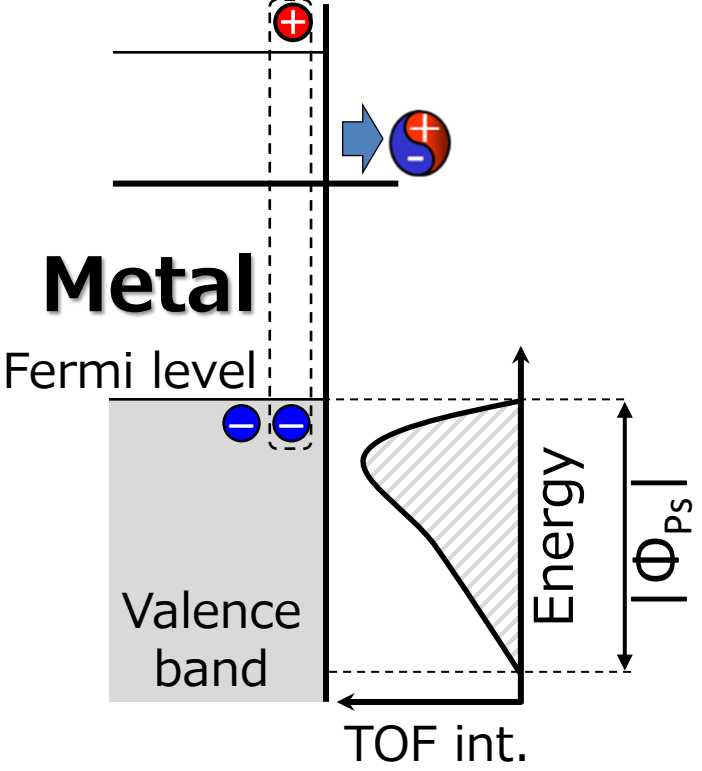


# Metal

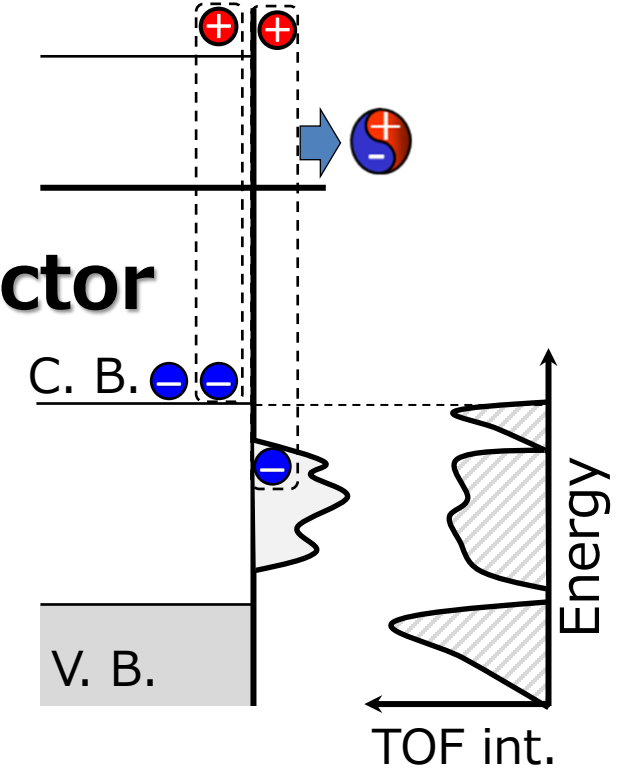
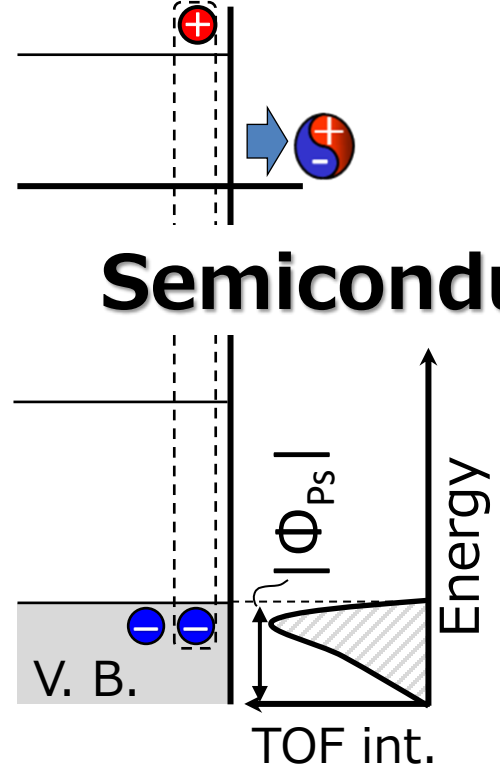
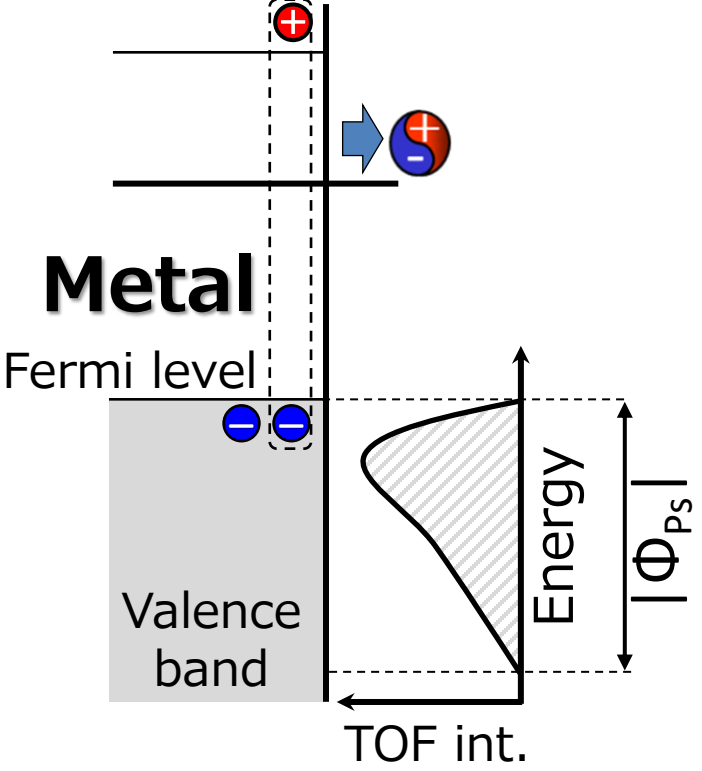


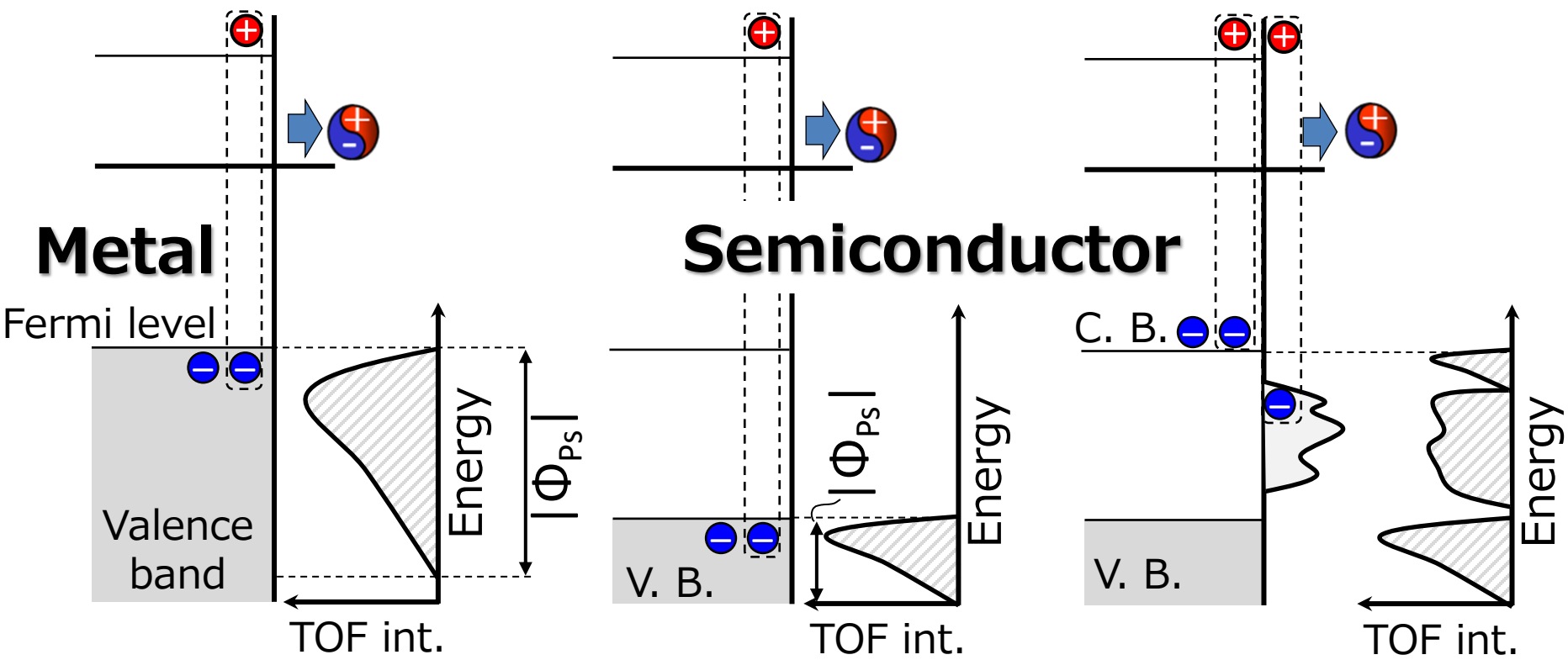
# Semiconductor





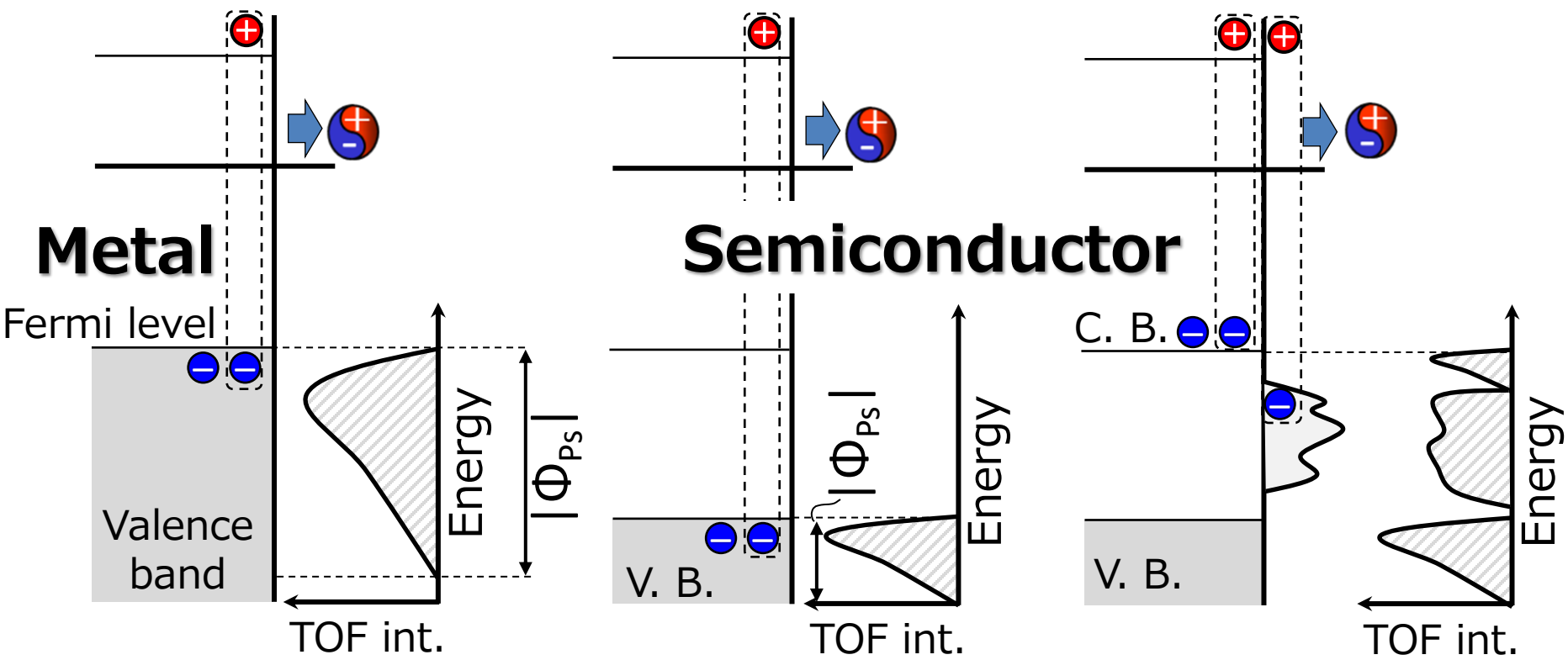






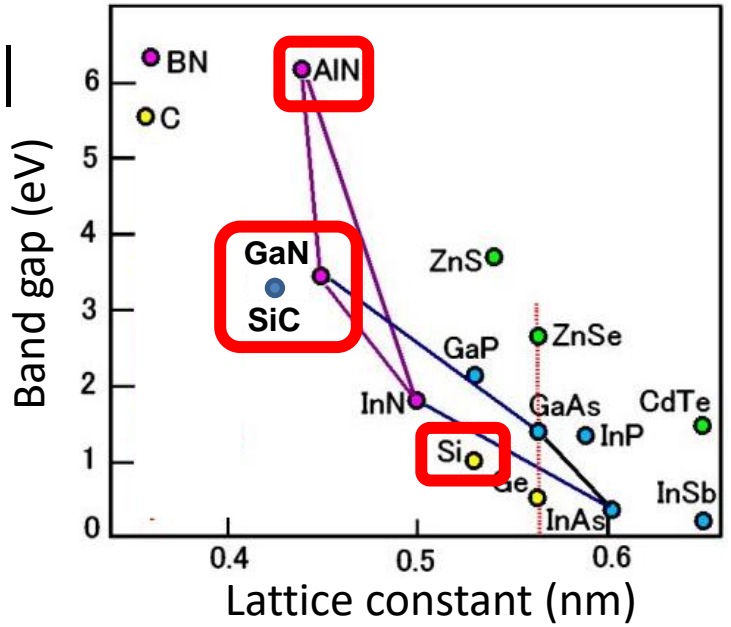
Maximum Ps energy from  $|\Phi_{Ps}|$

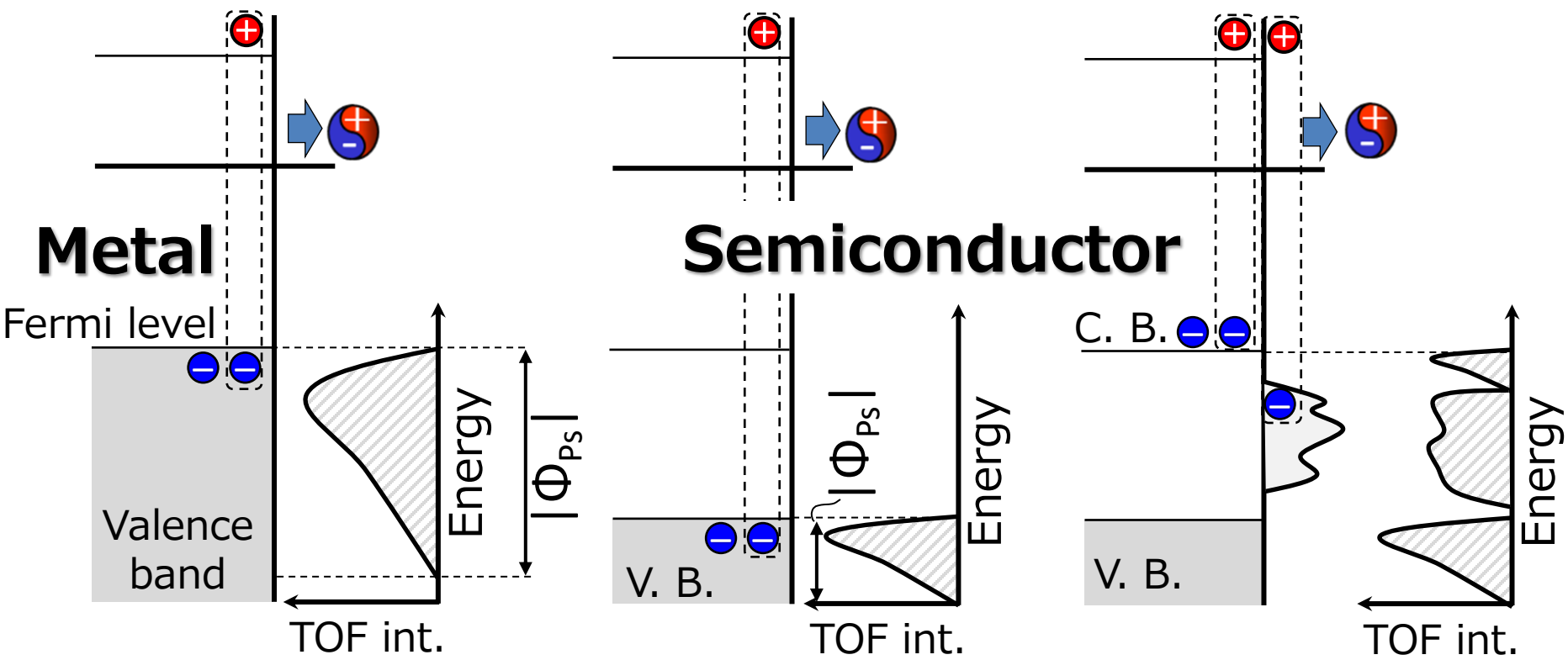
- AlN: **6~7eV**
- GaN: **~3.5eV**
- SiC: **~3eV**
- Si: **~1eV**



Maximum Ps energy from  $|\Phi_{Ps}|$

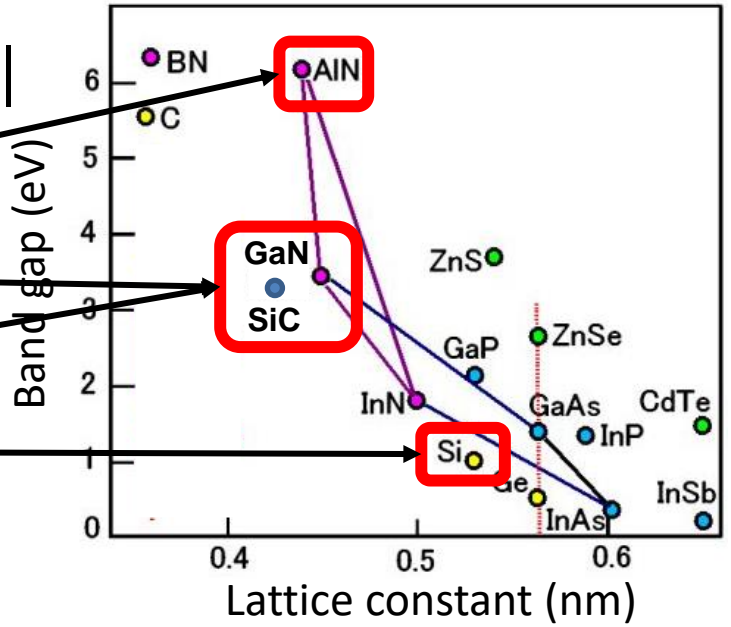
- AlN: **6~7eV**
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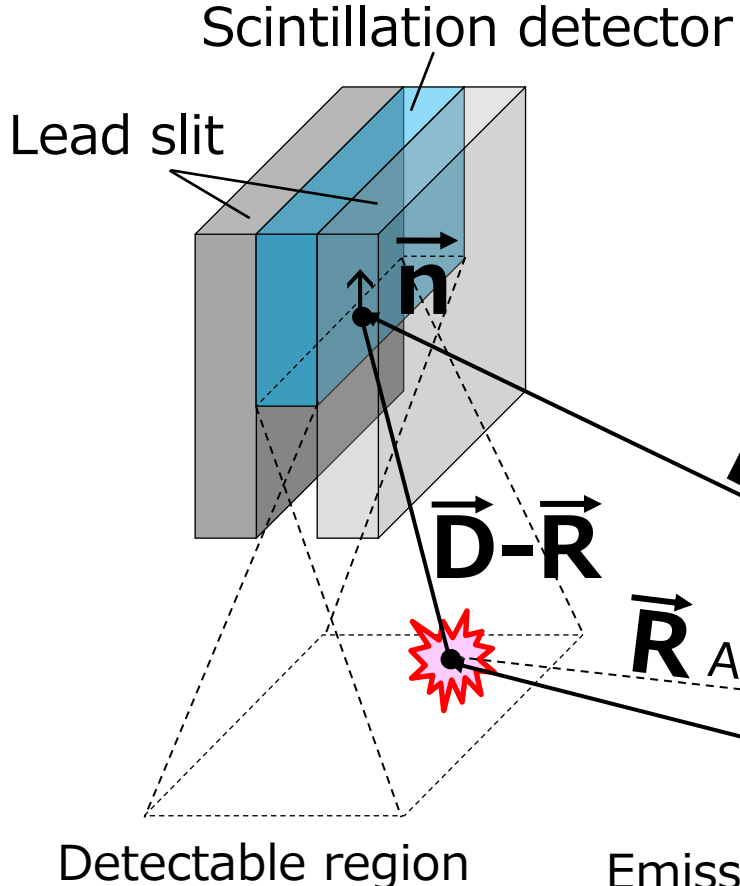


Maximum Ps energy from  $|\Phi_{Ps}|$

- AlN: **6~7eV**
- GaN: **~3.5eV**
- SiC: **~3eV**
- Si: **~1eV**



# Ps TOF Monte Carlo Simulation (by Maekawa)



## o-Ps annihilation point

$$\mathbf{R} = \mathbf{R}_0 + V_{Ps}T \begin{pmatrix} \sin \alpha \cos \varphi \\ \sin \alpha \sin \varphi \\ \cos \alpha \end{pmatrix}$$

## Ps TOF spectrum

$$N_{Ps}(T) \propto \left[ \iint_s \frac{ds ((\mathbf{D} - \mathbf{R}) \cdot \mathbf{n})}{(D - R)^3} ds \exp\left(\frac{-T}{142[ns]}\right) \right] \otimes G(t)$$

# Ps TOF Monte Carlo Simulation (by Maekawa)

Scintillation detector

o-Ps annihilation point

**Ps-TOFシミュレーション7e**  
 M. Maekawa ver.20210518 刻み(eV) 0.05  
 build. 2021/06/17 13:13:20 最大(eV) 8

シミュレーションパラメータ:  
 ジョマトリヤセット: KEK-上流  
 エネルギースケール化: →  
 試料から鉛スリットまでの距離L: 121.5 mm  
 鉛スリット幅d: 9 mm  
 スリット厚みs: 100 mm  
 ビーム軸からスリットまでの距離r: 125 mm  
 Ps視野制限スリットまでの距離a: 11 mm  
 Ps視野制限スリット直径φ: 12 mm  
 Ps角度制限スリット±: 28.61 degree  
 配管アクセプタンス±: 52.91 degree  
 スリット見込み長さΔL: 31.5 mm  
 最短飛行距離: 105.75 mm  
 エネルギー分解能: 51.85 %  
 時間分解能Δt/t ±: 25.93 %

計算パラメータ:  
 ビームサイズb: 6 mm (←をFWHMとするガウス分布)  
 測定系時間分解能t: 30 ns  
 検出器立体角の幾何効率を考慮g:   
 鉛スリット越しのガンマ線の検出効率γ: 0  
 oPS/バックグラウンドo: 0 %  
 (各行で)計算するPs数n: 2 × 7 乗個  
 お試し計算  
 スムージング 3点

	Eps上限[eV]	Eps下限[eV]	角度分布モード	角度1[deg]	角度2[deg]	相対強度	飛行時間t[ns]	時間ブレΔt[ns]	予想最短時刻[ns]	エネルギー分散[eV]
0	6.3	6.3	指定角度範囲inteq	0	46	440.00	115.44	29.93	100.48	± 3.27 0.0192
1	2.9	2.8	指定角度範囲inteq	0	0	56.18	170.15	44.11	148.10	± 1.50 0.0245
2	2.8	2.5	指定角度範囲inteq	0	30	8.96	173.16	44.89	150.72	± 1.45 0.0111
3	2.5	2	指定角度範囲inteq	0	30	29.48	183.26	47.51	159.50	± 1.30 0.0649
4	2	1.5	指定角度範囲inteq	0	30	34.82	204.89	53.12	178.33	± 1.04 0.0761
5	1.5	1	指定角度範囲inteq	0	30	61.81	236.59	61.34	205.92	± 0.78 0.1352
6	1	0.5	指定角度範囲inteq	0	30	107.49	289.76	75.12	252.20	± 0.52 0.2355
7	0.5	0	指定角度範囲inteq	0	30	198.00	409.78	106.24	356.66	± 0.26 0.4333

②シミュレーション開始 ③相対強度調整  
 計算済スペクトル生成/利用  到達粒子ログ(.log)生成  
 計算時間: 00:01:30.1500731 R parameter: 16.60 %  
 終了時刻: 2021/07/06 13:19:48  
 バッチ計算制御: バッチ計算実行 (3-2) .batch  
 今の場合条件を 1E9 個で.batchに 追記

④ファイル保存  
 フォルダ名: D:\maekawa\project\#037KEKビーム(消滅)\#KEK-PsTOF実験#20210401(TOF単一エネルギーでシミュレーション)  
 フォルダ開く  
 実験データ読み込み: D:\maekawa\project\#037KEKビーム(消滅)\#KEK-PsTOF実験#20210401(TOF単一エネルギーでシミュレーション)  
 エネルギー分散: energy[eV] #t intensity[arb]  
 プロットする 規格化 強度補正 1.08 自動最適化  
 ④ファイル保存時一緒に -norm.txt保存

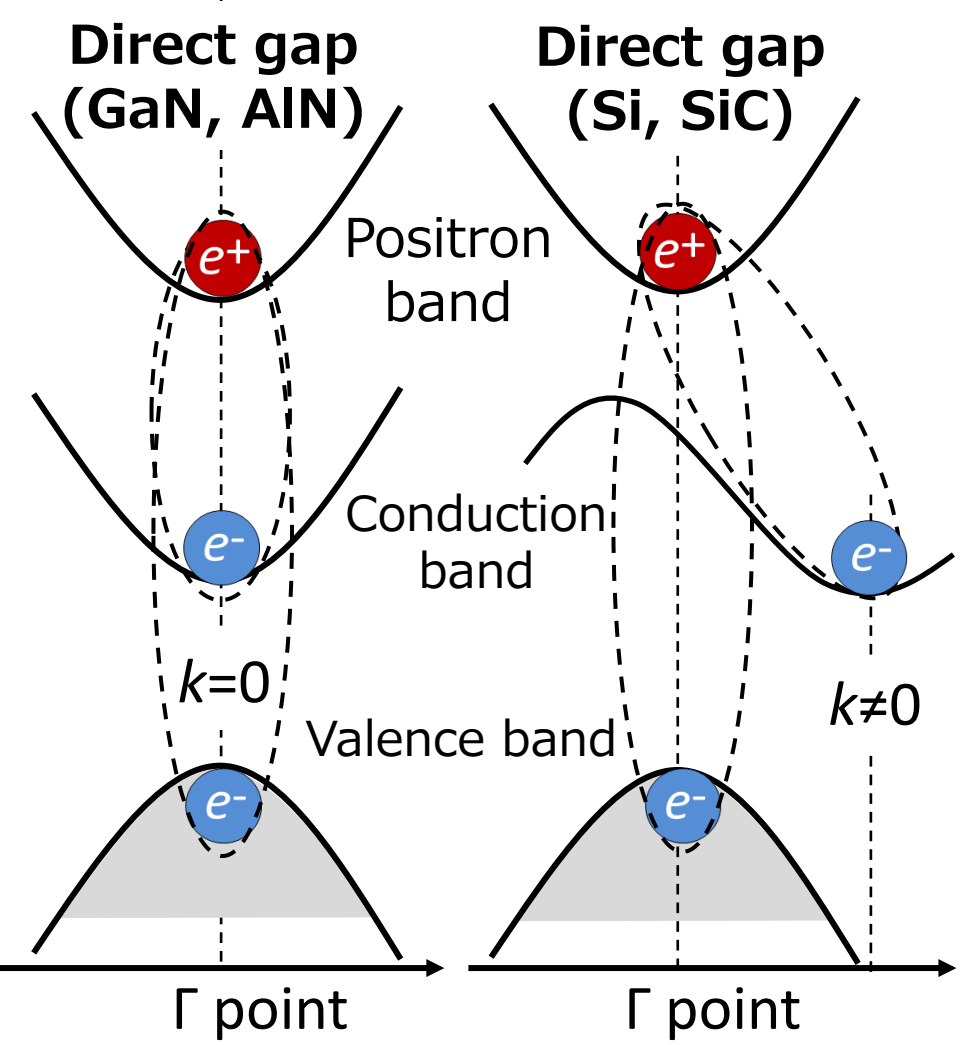
グラフ再描画  
 エネルギー線を表示  
 平均TOF: 302.1 ns  
 ピーク位置: 1102.0 ns  
 立ち上がり: 63 ns  
 FWHM: 568 ns  
 エネルギー広がり: 256.037 eV  
 時刻: 98.64 ns  
 エネルギー: 8.615 eV  
 強度: 0.0

ピーク最大: 3.57e-07 全検出確率: 7.423e-05  
 ピーク位置: 3.93e-07  
 放出Ps1個あたりの検出確率(/Ps): auto  
 時間[ns] 0 auto 800

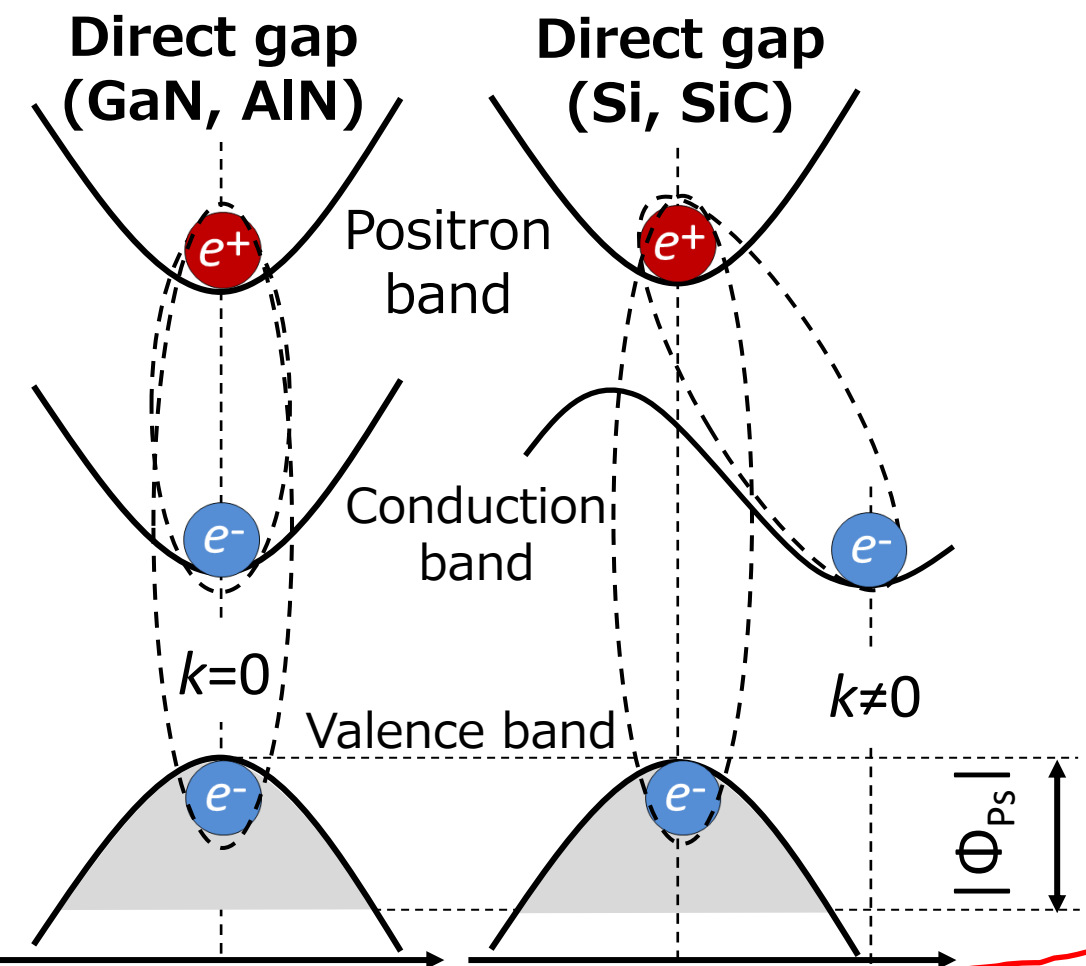
ピーク最大: 3.57e-007 エネルギー軸変換方法: 視野端点 L[mm]: 105.75  
 ピーク位置: 3.93e-07 R=16.60%  
 Epsごとの成分を表示:   
 放出Ps1個あたりの検出確率(/Ps): auto  
 エネルギー[eV] 0 auto 10

$$\left[ \int_s \right] (D - R)^3 (142[ns]) \dots (t)$$

# How to think Ps energy and angle?



# How to think Ps energy and angle?



## Ps energy distribution

$$0 \leq E_{Ps} \leq -\Phi_{Ps}$$

## Ps angle distribution

Pickable wave number in band dispersion

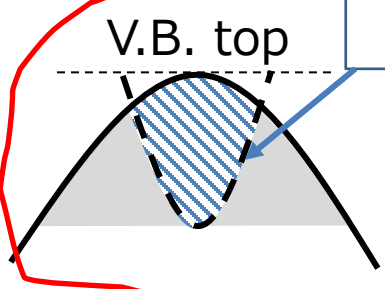
$$0 \leq k_{||} \leq \sqrt{-4m\Phi_{Ps} / \hbar^2}$$

Ex.  $\Phi_{Ps} = 3\text{eV}$  roughly

$$-20^\circ \leq \theta_{Ps} \leq 20^\circ$$

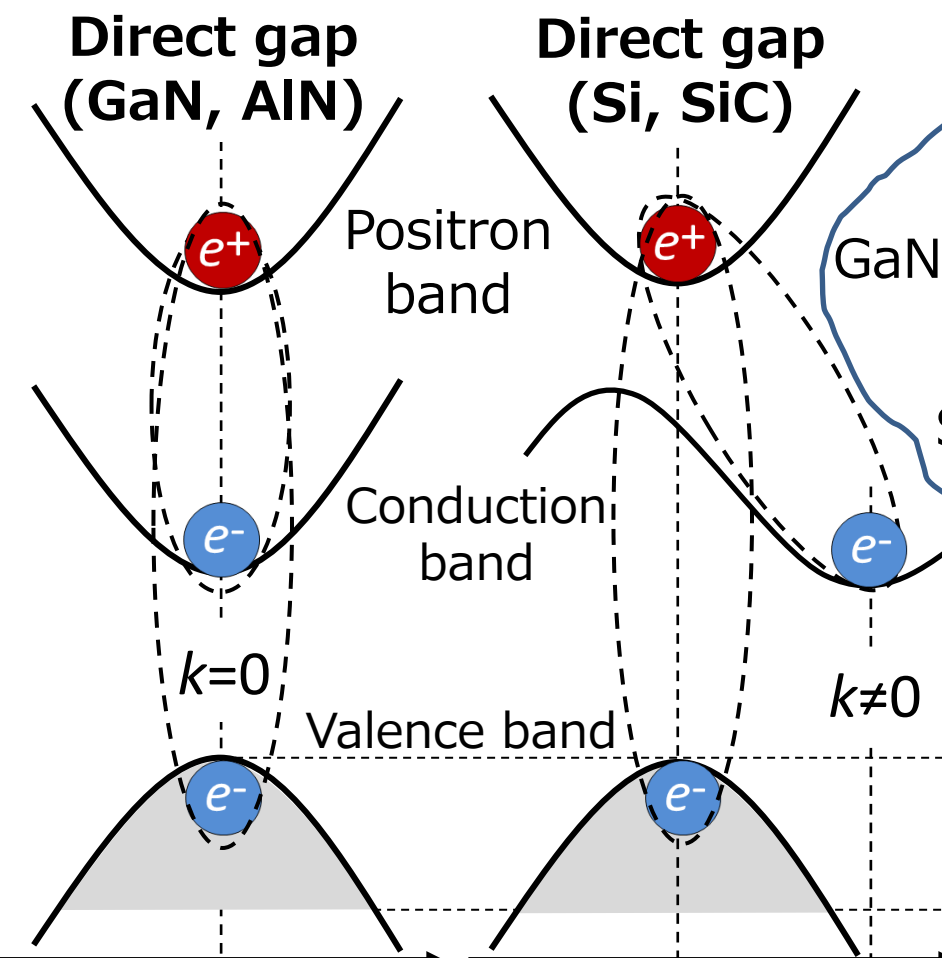
+ Phonon broadening

Valence





# How to think Ps energy and angle? Conduct.



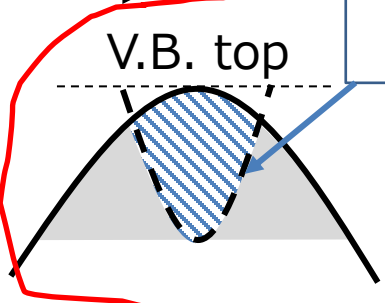
Ps energy  
 $E_{Ps} = -\Phi_{Ps} + E_G$

Ps angle distribution  
 GaN, AlN:  $\theta_{Ps} = 0^\circ$  + **Phonon broadening**  
 Si:  $(0.85, 0, 0) 2\pi / a$      $\theta_{Ps} = 45^\circ$   
 SiC:  $(0.5, 0, 0) 4\pi / (\sqrt{3}a)$      $\theta_{Ps} = 51^\circ$   
**+ Phonon broadening**

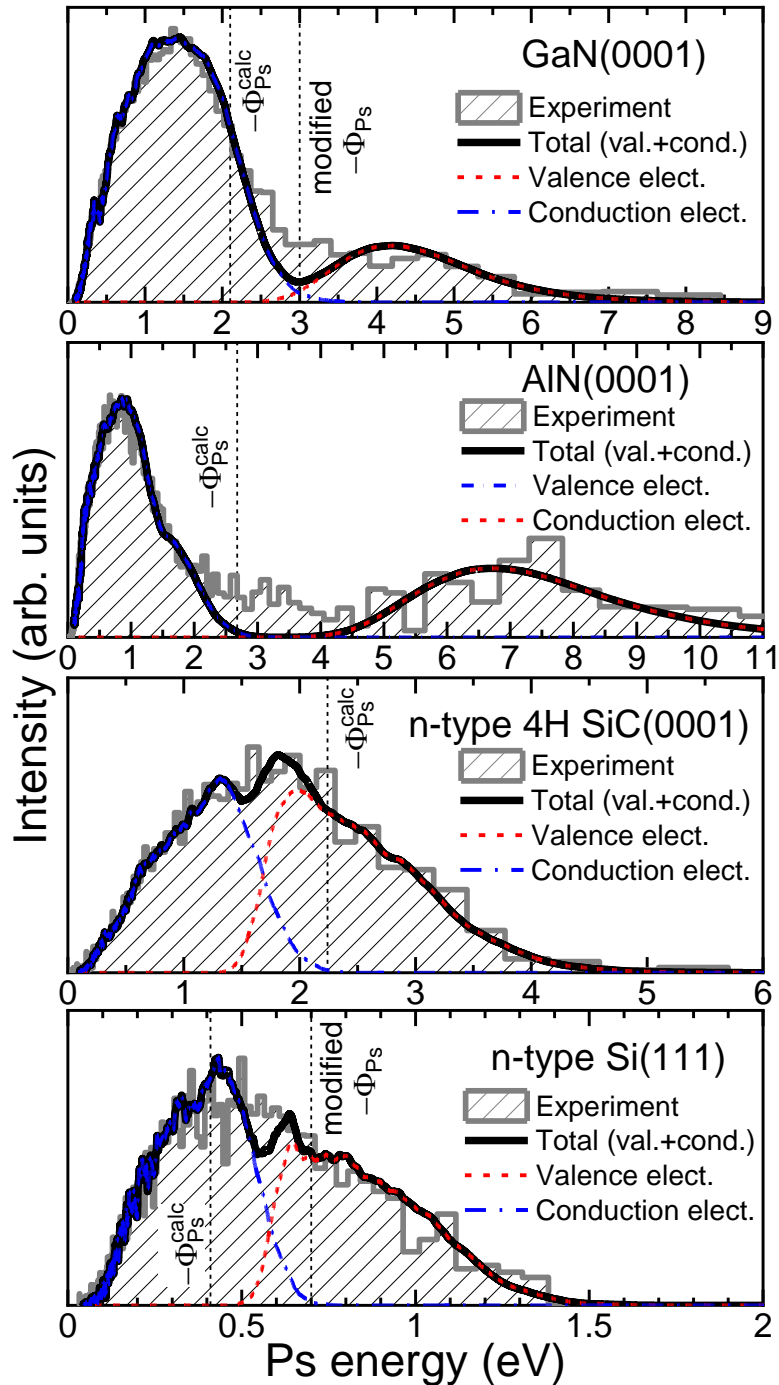
Ps energy distribution  
 $0 \leq E_{Ps} \leq -\Phi_{Ps}$

Ps angle distribution  
 Pickable wave number in band dispersion  
 $0 \leq k_{||} \leq \sqrt{-4m\Phi_{Ps} / \hbar^2}$   
 Ex.  $\Phi_{Ps} = 3\text{eV}$  roughly  
 $-20^\circ \leq \theta_{Ps} \leq 20^\circ$   
**+ Phonon broadening**

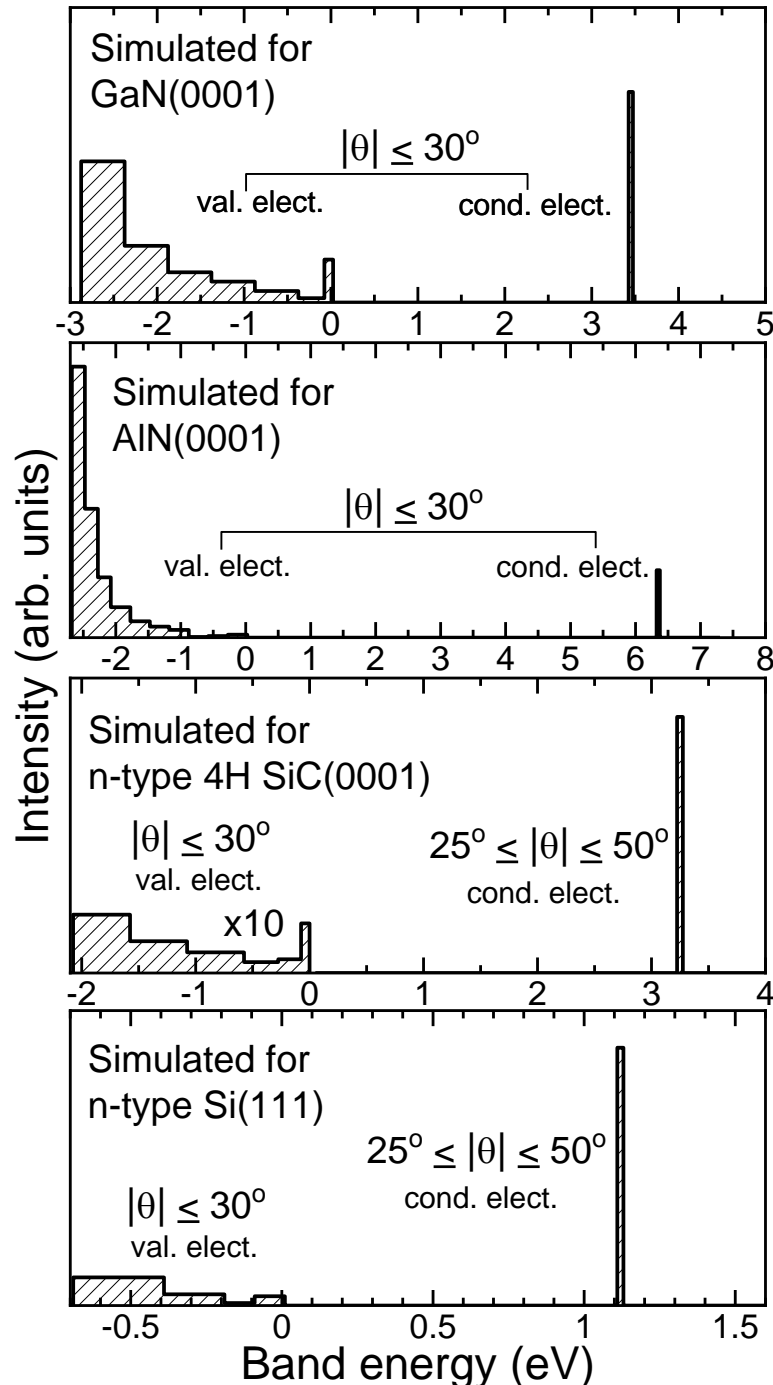
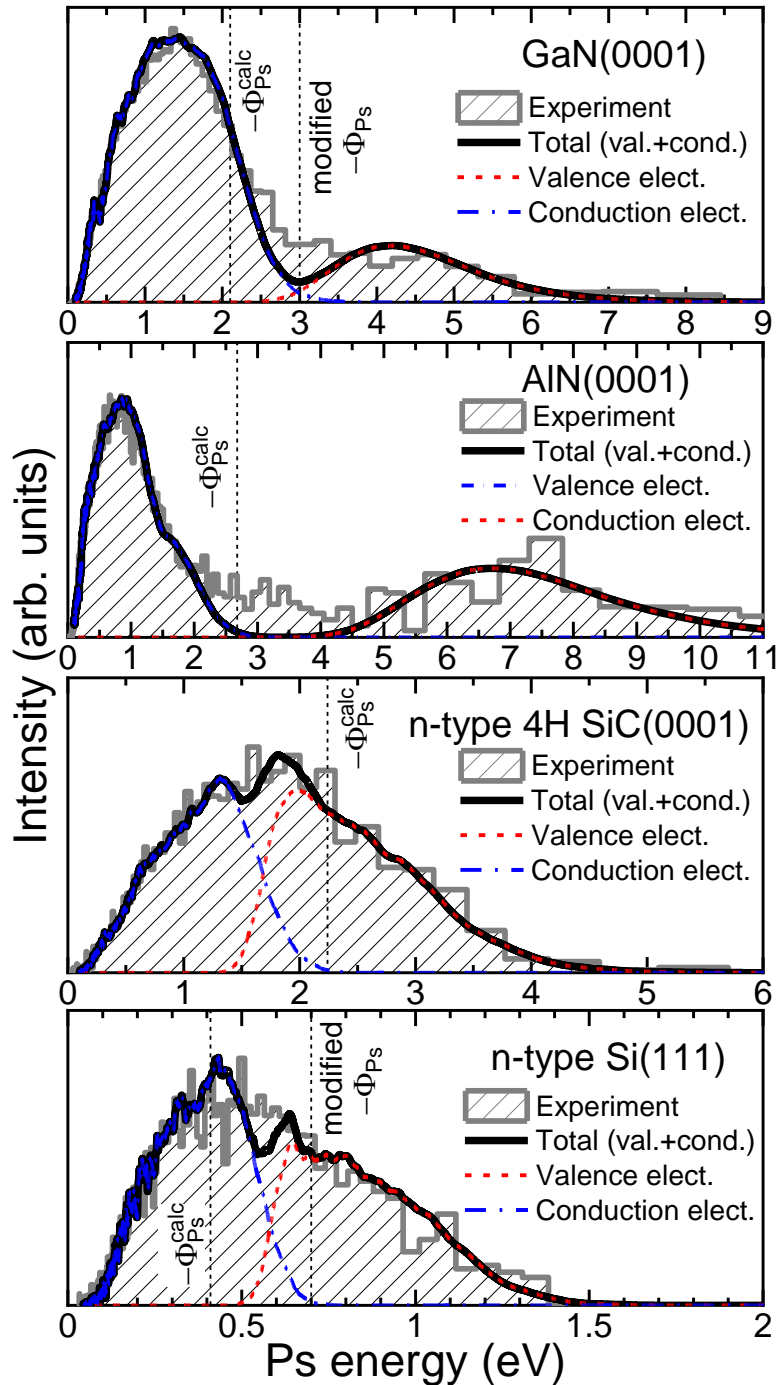
Valence



# Experimental and simulated Ps TOF spectra



# Experimental and simulated Ps TOF spectra



# Energy and angle distributions in simulation

# Summary and prospects

**Ps emission from Si, SiC, GaN and AlN has been studied through Ps TOF method.**

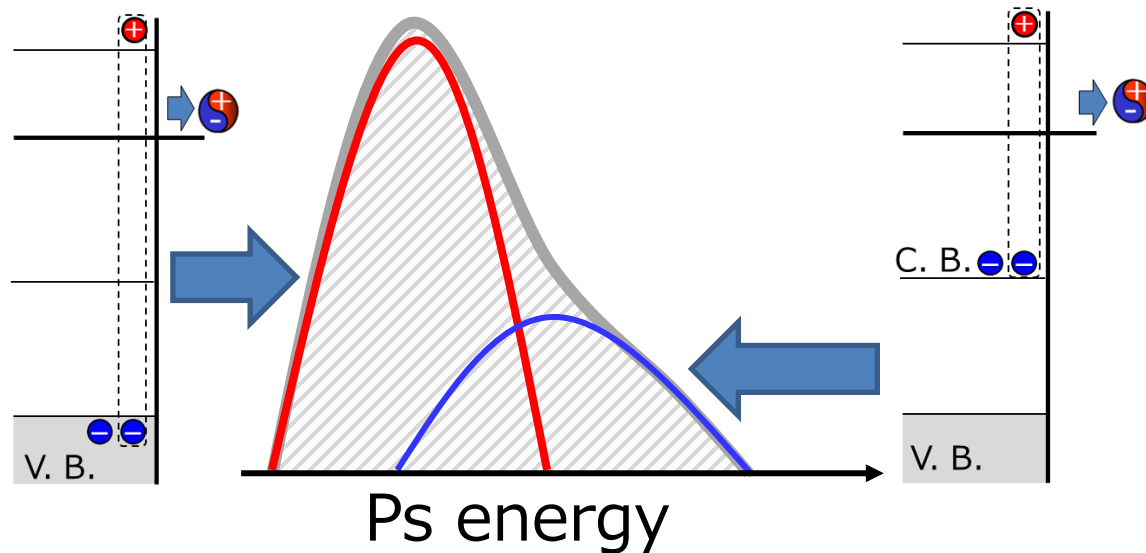
# Summary and prospects

Ps emission from Si, SiC, GaN and AlN has been studied through Ps TOF method.

Two Ps components are found :

(I) Valence electrons,

(II) Excited states above valence band.



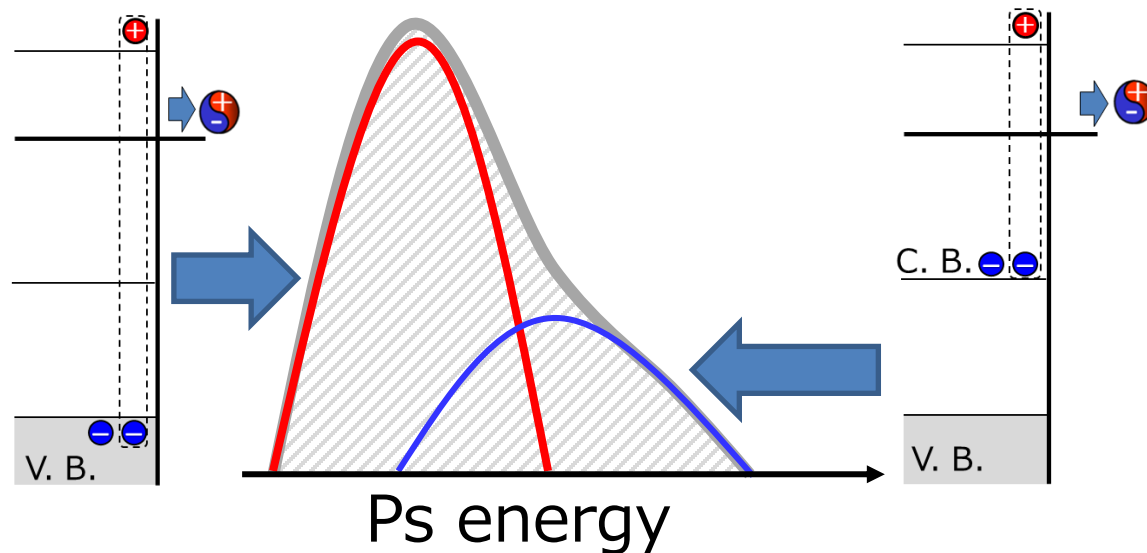
# Summary and prospects

Ps emission from Si, SiC, GaN and AlN has been studied through Ps TOF method.

Two Ps components are found :

(I) Valence electrons,

(II) Excited states above valence band.



Ps TOF spectroscopy is effective to study Ps energy distribution.

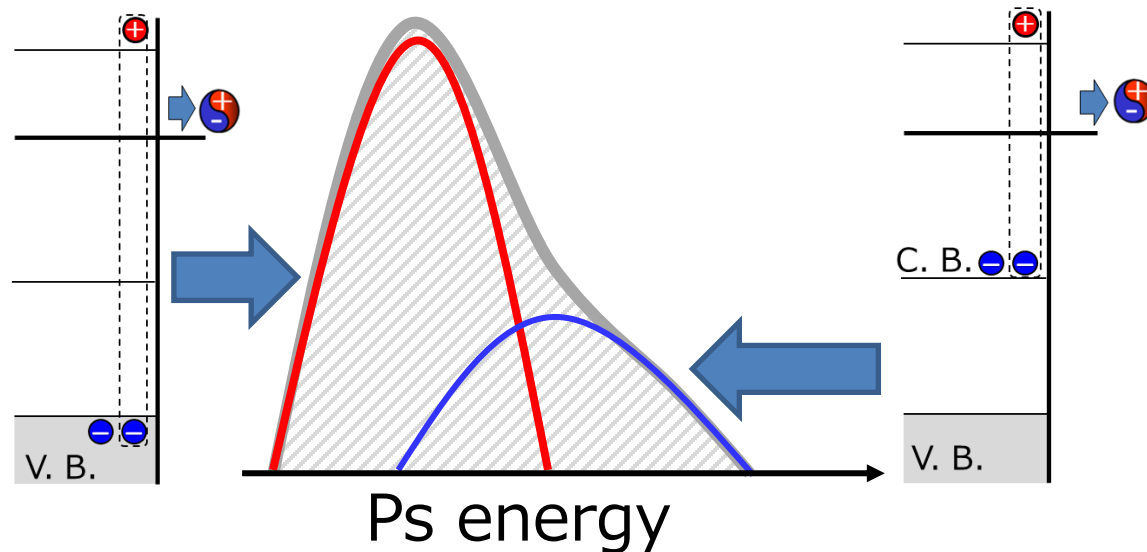
# Summary and prospects

Ps emission from Si, SiC, GaN and AlN has been studied through Ps TOF method.

Two Ps components are found :

(I) Valence electrons,

(II) Excited states above valence band.



Ps TOF spectroscopy is effective to study Ps energy distribution. However, for more precise arguments including angle distribution, some new methods need to be developed.

# Summary and prospects

## Ps emission via excited electron state → Application to Positron Spin Filter?

